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1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original, and a duplicate for fee processing)
2. ☒ Specification [Total Pages 105]  
(preferred arrangement set forth below)
  - Descriptive title of the Invention
  - Cross References to Related Applications
  - Statement Regarding Fed-Sponsored R&D
  - Reference to Microfilm Appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Detailed Description of the Drawings
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
3. ☒ Drawing(s) (37CFR 1.152) [Total Sheets 16]
4. ☒ Oath or Declaration [Total Pages 4]
  - a. ☐ Newly executed (original or copy)
  - b. ☒ Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 17 completed)
    - i. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).

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5. ☐ Microfiche Computer Program (*Appendix*)
6. Nucleotide and/or Amino Acid Sequence Submission  
(*if applicable, all necessary*)
- a. ☐ Computer Readable Copy
- b. ☐ Paper Copy (identical to computer copy)
- c. ☐ Statement verifying identity of above copies

7. ☒ Assignment Papers (cover sheet & document(s))

8. ☒ 37 CFR 3.73(b) Statement ☒ Power of Attorney  
(when there is an assignee)

9. ☐ English Translation Document (if applicable)

10. ☐ Information Disclosure Statement (IDS) PTO-1449 ☐ Copies of IDS Citations

11. ☐ Preliminary Amendment

12. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)

13. ☐ Small Entity Statement(s) ☐ Statement filed in prior application,  
Status still proper and desired

14. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)

15. ☐ Other:

16. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary amendment:

☒ Continuation    ☐ Divisional    ☐ Continuation-in-part (CIP) of prior application No. 09/173,854

*Prior application information: Examiner K. Coulter*

Group/Art Unit: 2758

**For CONTINUATION or DIVISIONAL APPS only:** The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

### 17. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label

021971

(Insert Customer No. or Attach bar code label here)

or ☐ Correspondence address below

NAME \_\_\_\_\_

ADDRESS

CITY

STATE

ZIP CODE

COUNTRY

TELEPHONE

FAX

Name (Print/Type)

Shaalu Mehra

Registration No. (Attorney/Agent)

44 934

Signature \_\_\_\_\_

Date \_\_\_\_\_

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**MARKET MAKERS USING DOCUMENTS FOR COMMERCE IN  
TRADING PARTNER NETWORKS**

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**CROSS-REFERENCE TO RELATED APPLICATIONS**

The present application is related to co-pending U.S. patent application No. \_\_\_\_\_, filed on \_\_\_\_\_, the same day as the present application, and having the same inventors, entitled DOCUMENTS FOR COMMERCE IN TRADING PARTNER NETWORKS AND INTERFACE DEFINITIONS BASED ON THE DOCUMENTS (19957-701); and to co-pending U.S. patent application No. \_\_\_\_\_, filed on \_\_\_\_\_, the same day as the present application, and having the same inventors, entitled PARTICIPANT SERVER WHICH PROCESSES DOCUMENTS FOR COMMERCE IN TRADING PARTNER NETWORKS (19957-702).

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## BACKGROUND OF THE INVENTION

### Field of the Invention

The present invention relates to systems and protocols supporting transactions among diverse clients coupled to a network; and more particularly to systems and protocols supporting commercial transactions among platforms having variant architectures.

### Description of Related Art

The Internet and other communications networks provide avenues for communication among people and computer platforms which are being used for a wide variety of transactions, including commercial transactions in which participants buy and sell goods and services. Many efforts are underway to facilitate commercial transactions on the Internet. However, with many competing standards, in order to execute a transaction, the parties to the transaction must agree in advance on the protocols to be utilized, and often require custom integration of the platform architectures to support such transactions. Commercial processes internal to a particular node not compatible with agreed upon standards, may require substantial rework for integration with other nodes. Furthermore, as a company commits to one standard or the other, the company becomes locked-in to a given standardized group of transacting parties, to the exclusion of others.

A good overview of the challenges met by Internet commerce development is provided in Tenenbaum, et al., *"Eco System: An Internet Commerce Architecture"*, Computer, May 1997, pp. 48-55.

To open commercial transactions on the Internet, standardization of architectural frameworks is desired. Platforms developed to support such commercial frameworks include IBM Commerce Point, Microsoft Internet Commerce Framework, Netscape ONE (Open Network Environment), Oracle NCA (Network Computing Architecture), and Sun/JAVASoft JECF (JAVA

Electronic Commerce Framework).

In addition to these proprietary frameworks, programming techniques, such as common distributed object model based on CORBA IIOP (Common Object Request Broker Architecture Internet ORB Protocol), are being pursued.

5 Use of the common distributed object model is intended to simplify the migration of enterprise systems to systems which can inter-operate at the business application level for electronic commerce. However, a consumer or business using one framework is unable to execute transactions on a different framework. This limits the growth of electronic commerce systems.

10 Companies implementing one framework will have an application programming interface API which is different than the API's supporting other frameworks. Thus, it is very difficult for companies to access each others business services, without requiring adoption of common business system interfaces. The development of such business system interfaces at the API level  
15 requires significant cooperation amongst the parties which is often impractical.

Accordingly, it is desirable to provide a framework which facilitates interaction amongst diverse platforms in a communication network. Such system should facilitate spontaneous commerce between trading partners without custom integration or prior agreement on industry wide standards. Further, such  
20 systems should encourage incremental path to business automation, to eliminate much of the time, cost and risks of traditional systems integration.

Overall, it is desirable to provide an electronic commerce system that replaces the closed trading partner networks based on proprietary standards with open markets.

### SUMMARY OF THE INVENTION

The present invention offers an infrastructure for connecting businesses with customers, suppliers and trading partners. Under the infrastructure of the present invention, companies exchange information and services using self-defining, machine-readable documents, such as XML (Extensible Markup Language) based documents, that can be easily understood amongst the partners. Documents which describe the documents to be exchanged, called business interface definitions BIDs herein, are posted on the Internet, or otherwise communicated to members of the network. The business interface definitions tell potential trading partners the services the company offers and the documents to use when communicating with such services. Thus, a typical business interface definition allows a customer to place an order by submitting a purchase order, compliant with a document definition published in the BID of a party to receive the purchase order. A supplier is allowed to check availability by downloading an inventory status report compliant with a document definition published in the BID of a business system managing inventory data. Use of predefined, machine-readable business documents provides a more intuitive and flexible way to access enterprise applications.

A node in the commerce network establishes an interface for transactions according to the present invention that comprises a machine-readable specification of an interface, along with a machine-readable data structure that includes interpretation information for the machine-readable specification of the interface. The machine-readable specification of the interface includes a definition of an input document and a definition of an output document, that are accepted and produced by transaction processes for which the node acts as an interface. The definitions of the input and output documents comprise respective descriptions of sets of storage units and logical structures for sets of storage units, such as according to a standard XML based document. The machine-

readable data structure that includes interpretation information according to various aspects of the invention includes data type specifications (e.g. string, array, etc.) for logical structures in the definitions of the input and output documents, content models (e.g. lists of possible values) for logical structures and/or data structures that map predefined sets of storage units for a particular logic structure to respective entries in a list in order to provide a semantic definition of logical structures (e.g. mapping codes to product names).

According to other aspects of the invention, the interface includes a repository in memory accessible by at least one node in the network that stores a library of logic structures and interpretation information for the logic structures. The repository can be extended to include a library of definitions of input and output documents, a library of specifications of interfaces, and a library of specifications for participant interface nodes in the network.

Thus, a participant in the transaction framework of the present invention executes transactions amongst nodes in a network that includes a plurality of nodes executing processes involved in the transactions. The method includes storing a machine-readable specification of an interface for a transaction, the specification includes a definition of an input document and a definition of an output document. The definition of the input and output documents comprise respective descriptions of sets of storage units and logical structures for the sets of storage units. In a preferred system, the definitions are expressed in a manner compliant with a standard XML document type definition DTD, extended by semantic mapping, content modeling and data typing of some elements. The participant in the transaction receives data comprising a document through a communication network. The participant parses the document according to the specification stored for a transaction to identify an input document for the transaction. After parsing the document, at least a portion of the input document is provided in a machine-readable format to a transaction process which produces an output. An output document is formed comprising the output of

the transaction process, based on the definition of an output document in the stored specification. The output document is transmitted on the communication network, typically back to the source of the input document, or elsewhere as suits the needs of a particular type of transaction.

5           Thus the business interface definition bridges the gap between the documents specified for example according to XML and the programs which execute on the front end of the transaction processing services at particular nodes. Such front ends are implemented for example by JAVA virtual machines, or by other common architectures providing for interconnection of systems  
10 across a network. The business interface definition provides a technique by which a transaction protocol is programmed using the business interface definition document. The program for the protocol of the transaction is established by a detailed formal specification of a document type.

15           The machine-readable specification of the interface of the transaction is made accessible to other platforms in the network. Participant platforms include resources to design input documents and output documents according to the transaction interface specified at a complementary node. Thus, participant nodes include resources to access the definition of an input document for the complementary interface and a definition of an output document for the  
20 complementary interface. The stored specification for the accessing participant node is established by including at least part of the definition of the output document of the complementary interface in the definition of the input document of the interface stored in the specification.

25           The process of establishing the stored specification of an interface according to another aspect of the invention includes accessing elements of the machine-readable specification from a repository. The repository stores a library of logic structures, content models, and schematic maps for logic structures, and definition of documents that comprise logic structures used to build interface description. A repository accessible in the network facilitates the building of

interface descriptions which can be easily shared. Any differences between the input document created for a particular process and the output document expected as a return by a complementary process can be easily negotiated by communication on the network and agreeing on common logic structures to express particular information.

The machine-readable specification of an interface of a transaction according to one aspect of the invention includes a document compliant with a definition of an interface document that is shared amongst members of the network. A definition of the interface document includes logic structures for storing an identifier of a particular transaction and at least one of definitions and references to definitions of input and output documents for the particular transaction. That is, the interface description for a particular service may actually encompass a definition of the input and output documents. Alternatively, it may include pointers to a location in the repository, or elsewhere in the network, of such definitions.

According to another alternative of the invention, the machine-readable specification includes a business interface definition BID document compliant with a definition of an interface document that includes logical structures for storing an identifier of the interface, and for storing at least one of specifications and references to specifications of a set of one or more transactions supported by the interface. For each supported transaction, the document includes at least one of definitions and references to definitions of input and output documents for the particular transaction.

According to another aspect of the invention, the storage units defined in the definitions of the input and output document comprise parsed data including character data encoding text characters, and mark-up data identifying sets of storage units according to the logical structures of the input and output documents. According to another aspect of the invention, at least one of the sets of storage units encodes the plurality of text characters providing a natural



language word. This facilitates the use of the definitions of input and output documents by human readers and developers of such documents.

According to another aspect of the invention, the specification of the input and output documents includes interpretation information for at least one of the sets of storage units identified by the logical structure. The interpretation information in one example encodes definitions for sets of parsed characters. In another example, the interpretation information provides for content model specifications, such as requiring a specific logic structure to carry a member of a list of codes mapped to product descriptions in a catalog. In some systems, the storage units in a logic structure of a document may include sets of unparsed data, as suits the needs of a particular implementation.

According to yet another aspect of the invention, the transaction process in a particular platform has a transaction processing architecture which is one of a plurality of variant transaction processing architectures. Thus the participant node includes resources for translating at least a portion of the input document into a format readable according to the variant transaction processing architecture of the transaction process utilizing the information. The elements of the document definition are translated into programming objects that include variables and methods according to the variant transaction processing architectures of the transaction process. For a participant having a JAVA virtual machine acting as a transaction process front end, particular fields in the documents are translated into JAVA objects, including the data as well as get and set functions associated with a JAVA object. Other transaction processing architectures supportable according to the present invention include processes compliant with an interface description language in the sense of Common Object Request Broker Architecture CORBA, Component Object Model COM, On-Line Transaction Processing OLTP, and Electronic Data Interchange EDI.

According to other aspects of the invention, a repository is provided that stores document types for use in the plurality of transactions. The machine-

readable specification for a particular transaction defines at least one of the input and output documents by reference to a document type in the repository. According to another aspect, the document type included in the repository include a document type for identifying participants in the network. Such document type providing structures for identifying a participant, specifying the services supported by the participant, and specifying the input and output documents for each of such services.

In addition to the methods described above, the present invention provides an apparatus for managing transactions among nodes that includes a network interface, memory for storing data and programs of instructions including a machine-readable specification of an interface for a transaction as described above, and a data processor that is coupled with the memory and the network interface. The programs of instructions stored in the apparatus include logic to execute the processes described above for a participant in the transactions.

The present invention further provides an apparatus for establishing participant interfaces for transactions executed on a system that include a network interface and a data processing resources that execute transaction processes according to a transaction processing architecture. The apparatus includes programs of instructions that are executable by the system and stored on a medium accessible by the system that provide tools to build a definition of a participant interface for a participant in a particular transaction. The definition of a participant interface includes a definition of an input document and a definition of an output document. The definitions of the input and output documents comprise respective machine-readable descriptions of sets of storage units in logical structures for the sets of storage units, which may be compliant in one aspect of the invention with XML document type definitions.

The apparatus for establishing participant interfaces according to this aspect of the invention also includes programs of instructions stored on a

medium accessible by the data processing system and responsive to the definitions of input and output documents to compile data structures corresponding to the sets of storage units and logical structures of the input and output documents that are compliant with the transaction processing  
5 architecture, to compile instructions executable by the system to translate the input document to the corresponding data structures, and to compile instructions executable by the system to translate output of the transaction processes into sets of storage units and logical structures of the output document.

The tools to build a definition of a participant interface in a preferred  
10 system include instructions executable by the system to access elements of the definition from complementary nodes and/or from a repository that stores a library of logical structures and interpretation information for logical structures used to build interface descriptions. According to various aspects of the invention, the repository includes not only a library of logical structures but  
15 definitions of documents that comprise logical structures, and formats for specifying participant interfaces. According to this aspect of the invention, tools are provided for building specifications of business interfaces according to the techniques described above in connection with the description of the participant nodes. The tools for building interfaces and the tools for compiling the  
20 interfaces into resources needed for communication with transaction processing architectures according to this aspect of the invention, are implemented in participant nodes in the preferred system, and utilized for the development and optimization of the interface descriptions as use of the network grows based on interface descriptions that define input and output documents.

25 Accordingly, another aspect of the invention provides an apparatus that includes memory and a data processor that executes instructions stored in the memory that include tools to build a definition of a participant interface and a compiler performing the functions described above.

According to yet another aspect of the invention, the use of the

participant interface descriptions enables the operation of a market maker node. At such a node, a method for managing transactions is provided that comprises storing machine-readable specifications of a plurality of participant interfaces which identify transactions supported by the interfaces, and the respective input and output documents of such transactions. As described above, the definitions of the input and output documents comprise respective descriptions of sets of storage units and logical structures for the sets of storage units, such as according to the XML standard. In addition, the definitions of the transactions and the definitions of the participant interfaces all comprise documents specified according to a technique compliant with XML or other standardized document expression language. At such market maker node, data comprising a document is received over a communication network. The document is parsed according to the specifications to identify an input document in one or more transactions which accept the identified input document. At least a portion of the input document is provided in a machine-readable format to a transaction process associated with the one or more identified transactions. The step of providing at least a portion of the input document to a transaction process includes executing a routing process according to a processing architecture at the market maker node. The routing process in one aspect of the invention is executed according to a particular processing architecture, and at least a portion of the incoming document is translated into the format of the processing architecture of the routing process. The translating according to the preferred aspect includes producing programming objects that include variables and methods according to the processing architecture of the routing process.

According to another aspect of the invention, the market maker node also supports the repository structure. Thus, a process is included at the market maker node for allowing access by participants in the network to a repository stored at the market maker node. As described above, the repository includes definitions of logic structures, interpretation information, and document

definitions for use by the participant nodes to build transaction interface documents and includes instances of business interface definitions that identify the participants, and the transactions executed by the respective participants.

5 The routing process includes according to various alternatives the translating of the input document into the variant processing architecture of the processes to which the document is to be routed, or routing the input document in its original document format across the network to a remote processing node, or to combinations of such processes. In alternatives, the routing process may also include techniques for transforming an input document defined according to  
10 one input document definition into a different document defined according to a different document specification for a process which has registered to watch for the input document.

Also, the market maker node is provided according to the present invention as apparatus that includes a network interface, memory storing data  
15 and programs of instructions including the specifications of the participant interfaces, and a data processor. The logic is provided with the data processor in the form of programs of instructions or otherwise to perform the market maker process as discussed above.

Accordingly, the present invention provides a foundation for electronic  
20 commerce based on the sharing of specifications of input and output documents. Documents provide an intuitive and flexible way to access business services, much simpler to implement than programming APIs. It is much easier to interconnect companies in terms of documents that are exchanged, on which such companies already largely agree, than in terms of business system interfaces which invariably differ. In addition, such documents are specified in a human  
25 readable format in the preferred embodiment. According to the present invention the business interfaces are specified in documents, such as XML documents that are readily interpretable by humans as well as by computers.

Utilization of the document based transaction architecture of the present

invention involves the use of a parser which operates in basically the same way for any source of documents, eliminating much of the need for custom programs to extract and integrate information from each participant in the network. Thus, integrating enterprise information from accounting, purchasing, manufacturing, shipping and other functions can be accomplished by first converting each source to a document having an architecture according to the present invention, and then processing the parsed data stream. Each node in the system that participates in the market only needs to know about the format of its internal systems, as well as the format of the documents being specified for interchange according to the transaction. Thus, there is no need to be able to produce the native format of every other node which might want to participate in the electronic commerce network.

For complete business integration, the present invention provides a repository of standardized logical structures, like XML elements, attributes or meta data, establishing a semantic language for particular commerce communities, a means for mapping between different meta data descriptions, and a server for processing the documents and invoking appropriate applications and services. The basic building blocks of a network according to the present invention include the business interface definitions which tell potential trading partners what online services a company offers and which documents to use to invoke the services; and servers which provide the bridge to bind together the set of internal and external business services to create a trading community. The server operates to parse the incoming documents and invoke the appropriate services. Also the server according to the present invention handles the translation tasks from the format of the documents being received and transmitted, to and from the formats of the respective host systems. Thus, trading partners need only agree on the structure, content and sequencing of the business documents exchanged, and not on the details of application programmer interfaces. How a document is processed and the actions which result from

receipt of a document are strictly up to the businesses providing the services. This elevates integration from the system level to the business level. It enables the business to present a clean and stable interface to its partners despite changes in its internal technology implementation, organization or processes.

5           The whole process of building business interface definitions and enabling servers to manage commerce according to such descriptions is facilitated by a common business library, or repository, of information models for generic business concepts including business description primitives like companies, services and products, business forms like catalogs, purchase orders and  
10          invoices, and standard measurements, including time and date, location and classification of goods.

Other aspects of the present invention can be seen upon review of the figures, the detailed description, and the claims which follow.

#### 15           BRIEF DESCRIPTION OF THE FIGURES

Fig. 1 is a simplified diagram of an electronic commerce network including business interface definitions BIDs according to the present invention.

Fig. 2 is a simplified diagram of a business interface definition document according to the present invention.

20          Fig. 3 is a conceptual block diagram of a server for a participant node in the network of the present invention.

Fig. 4 is a flow chart illustrating the processing of a received document at a participant node according to the present invention.

25          Fig. 5 is a block diagram of a parser and transaction process front end for an XML based system.

Fig. 6 is a conceptual diagram of the flow of a parse function.

Fig. 7 is a simplified diagram of the resources at a server used for building a business interface definition according to the present invention.

Fig. 8 is a simplified diagram of a repository according to the present

invention for use for building business interface definitions.

Fig. 9 is a flow chart illustrating the processes of building a business interface definition according to the present invention.

Fig. 10 provides a heuristic view of a repository according to the present invention.

Fig. 11 is a simplified diagram of the resources at a server providing the market maker function for the network of the present invention based on business interface definitions.

Fig. 12 is a flow chart for the market maker node processing of a received document.

Fig. 13 is a flow chart illustrating the process of registering participants at a market maker node according to the present invention.

Fig. 14 is a flow chart illustrating the process of providing service specifications at a market maker node according to the process of Fig. 9.

Fig. 15 is a diagram illustrating the sequence of operation at a participant or market maker node according to the present invention.

Fig. 16 is a conceptual diagram of the elements of a commercial network based on BIDs, according to the present invention.

#### DETAILED DESCRIPTION

A detailed description of the present invention is provided with respect to the figures, in which Fig. 1 illustrates a network of market participants and market makers based on the use of business interface definitions, and supporting the trading of input and output documents specified according to such interface descriptions. The network includes a plurality of nodes 11-18 which are interconnected through a communication network such as the Internet 19, or other telecommunications or data communications network. Each of the nodes 11-19 consists of a computer, such as a portable computer, a desktop personal computer, a workstation, a network of systems, or other data processing



resources. The nodes include memory for storing the business interface definition, processors that execute transaction processes supporting commercial transactions with other nodes in the network, and computer programs which are executed by the processors in support of such services. In addition each of the nodes includes a network interface for providing for communication across the Internet 19, or the other communication network.

In the environment of Fig. 1, nodes 11, 12, 13, 14, 16 and 18 are designated market participants. Market participants include resources for consumers or suppliers of goods or services to be traded according to commercial transactions established according to the present invention.

In this example, nodes 15 and 17 are market maker nodes. The market maker nodes include resources for registering business interface definitions, called a BID registry. Participants are able to send documents to a market maker node, at which the document is identified and routed to an appropriate participant which has registered to receive such documents as input. The market maker also facilitates the commercial network by maintaining a repository of standard forms making up a common business library for use in building business interface definitions.

In this example, the market participant 18 is connected directly to the market maker 17, rather than through the Internet 19. This connection directly to the market maker illustrates that the configuration of the networks supporting commercial transactions can be very diverse, incorporating public networks such as the Internet 19, and private connections such as a local area network or a Point-to-Point connection as illustrated between nodes 17 and 18. Actual communication networks are quite diverse and suitable for use to establish commercial transaction networks according to the present invention.

Fig. 2 is a heuristic diagram of nested structures in a business interface definition BID which is established for market participants in the network according to the present invention. The business interface definition illustrated in

Fig. 2 is a data structure that consists of logic structures and storage units arranged according to a formal definition of a document structure, such as a XML document type definition DTD. The structure of Fig. 2 includes a first logic structure 200 for identifying a party. Associated with the logic structure 200 are nested logic structures for carrying the name 201, the physical address 202, the network address or location 203, and a set of transactions for a service 204. For each transaction in the service set, an interface definition is provided, including the transaction BID 205, the transaction BID 206, and the transaction BID 207. Within each transaction BID, such as transaction BID 205, logical structures are provided for including a name 208, a location on the network at which the service is performed 209, the operations performed by the service 210, and a set of input documents indicated by the tag 211. Also, the service BID 205 includes a set of output documents indicated by the tag 212. The set of input documents 211 includes a business interface definition for each input document for which the services are designed to respond, including input document business interface definitions 213, 214, and 215. Each business interface definition for an input document includes a name 216, a location on the network at which a description of the document can be found 217, and the modules to be carried in the document as indicated by the field 218. In a similar manner, the output document set 212 includes interface definitions for output documents including the output document BID 219, output document BID 220, and output document BID 221. For each output document BID, a name 222, a location on the network or elsewhere 223, and the modules of the document 224 are specified. The business interface definition for the participant as illustrated in Fig. 2 includes actual definitions of a logic structures to be utilized for the input and output documents of the respective services, or pointers or other references to locations at which these definitions can be found.

In the preferred system, the document of Fig. 2 is specified in an XML document type definition DTD, although other document definition architectures

could be used, and includes interpretation information for the logical structures used in interpretation of instances of the documents. In addition, each of the transaction BIDs, input document BIDs and output document BIDs are specified according to an XML document type definitions. The XML type document is an example of a system based on parsed data that includes mark-up data and character data. Mark-up data identifies logical structures within the document and sets of character data identify the content of the logical structures. In addition, unparsed data can be carried in the document for a variety of purposes. See for example the specification of the *Extensible Mark-up Language XML 1.0* REC-XML-19980210 published by the WC3 XML Working Group at WWW.W3.ORG/TR/1998/REC-XML-19980210.

Thus in an exemplary system, participant nodes in the network establish virtual enterprises by interconnecting business systems and services with XML encoded documents that businesses accept and generate. For example, the business interface definition of a particular service establishes that if a document matching the BID of a request for a catalog entry is received, then a document matching a BID of a catalog entry will be returned. Also, if a document matching the BID of a purchase order is received, and it is acceptable to the receiving terminal, a document matching the BID of an invoice will be returned. The nodes in the network process the XML documents before they enter the local business system, which is established according to the variant transaction processing architecture of any given system in the network. Thus, the system unpacks sets of related documents, such as MIME-encoded sets of XML documents, parses them to create a stream of "mark-up messages". The messages are routed to the appropriate applications and services using for example an event listener model like that described below.

The documents exchanged between business services are encoded using an XML language built from a repository of building blocks (a common business language) from which more complex document definitions may be created. The

repository stores modules of interpretation information that are focused on the functions and information common to business domains, including business description primitives like companies, services and products; business forms like catalogs, purchase orders and invoices; standard measurements, like time, date, location; classification codes and the like providing interpretation information for logical structures in the XML documents.

The business interface definition is a higher level document that acts as a schema used for designing interfaces that trade documents according to the present invention. Thus the business interface definition bridges the gap between the documents specified according to XML and the programs which execute on the front end of the transaction processing services at particular nodes. Such front ends are implemented by JAVA virtual machines, or by other common architectures providing for interconnection of systems across a network. Thus, the business interface definition provides a technique by which a transaction protocol is programmed using the business interface definition document. The program for the protocol of the transaction is established by a detailed formal specification of a document type.

An example business interface definition BID based on a market participant document which conforms to an XML format is provided below. The market participant DTD groups business information about market participants, associating contact and address information with a description of services and financial information. This business information is composed of names, codes, addresses, a dedicated taxonomic mechanism for describing business organization, and a pointer to terms of business. In addition, the services identified by the market participant DTD will specify the input and output documents which that participant is expected respond to and produce. Thus, documents which define schema using an exemplary common business language for a market participant DTD, a service DTD, and a transaction document DTD specified in XML with explanatory comments follow:

## Market Participant Sample

```

<!DOCTYPE SCHEMA SYSTEM "bid1.dtd">
<SCHEMA>
  <H1>Market Participant Sample BID</H1>
  <META
    WHO.OWNS="Veo Systems"      WHO.COPYRIGHT="Veo Systems"
    WHEN.COPYRIGHT="1998"      DESCRIPTION="Sample BID"
    WHO.CREATED="*"            WHEN.CREATED="*"
    WHAT.VERSION="*"           WHO.MODIFIED="*"
    WHEN.MODIFIED="*"          WHEN.EFFECTIVE="*"
    WHEN.EXPIRES="*"           WHO.EFFECTIVE="*"
    WHO.EXPIRES="*">
  </META>

  <PROLOG>
  <XMLDECL STANDALONE="no"></XMLDECL>
  <DOCTYPE NAME="market.participant">
  <SYSTEM>markpart.dtd</SYSTEM></DOCTYPE>
  </PROLOG>

  <DTD NAME="markpart.dtd">
  <H2>Market Participant</H2>
  <H3>Market Participant</H3>
  <ELEMENTTYPE NAME="market.participant">
  <EXPLAIN><TITLE>A Market Participant</TITLE>
  <SYNOPSIS>A business or person and its service interfaces.</SYNOPSIS>
  <P>A market participant is a document definition that is created to describe a business and at least
  one person with an email address, and it presents a set of pointers to service interfaces located on
  the network. In this example, the pointers have been resolved and the complete BID is presented
  here.</P></EXPLAIN>
  <MODEL><CHOICE>
  <ELEMENT NAME="business"></ELEMENT>
  <ELEMENT NAME="person"></ELEMENT>
  </CHOICE></MODEL></ELEMENTTYPE>

  <H3>Party Prototype</H3>
  <PROTOTYPE NAME="party">
  <EXPLAIN><TITLE>The Party Prototype</TITLE></EXPLAIN>
  <MODEL><SEQUENCE>
  <ELEMENT NAME="party.name" OCCURS="+"></ELEMENT>
  <ELEMENT NAME="address.set"></ELEMENT>
  </SEQUENCE></MODEL>
  </PROTOTYPE>

  <H3>Party Types</H3>
  <ELEMENTTYPE NAME="business">
  <EXPLAIN><TITLE>A Business</TITLE>
  <SYNOPSIS>A business (party) with a business number attribute.</SYNOPSIS>
  <P>This element inherits the content model of the party prototype and adds a business number
  attribute, which serves as a key for database lookup. The business number may be used as a cross-

```

reference to/from customer id, credit limits, contacts lists, etc. </P></EXPLAIN>  
 <EXTENDS HREF="party">  
 <ATTDEF NAME="business.number"><REQUIRED></REQUIRED></ATTDEF>  
 </EXTENDS>  
 5 </ELEMENTTYPE>  
  
 <H3>Person Name</H3>  
 <ELEMENTTYPE NAME="person">  
 <EXPLAIN><TITLE>A Person</TITLE></EXPLAIN>  
 10 <EXTENDS HREF="party">  
 <ATTDEF NAME="SSN"><IMPLIED></IMPLIED></ATTDEF>  
 </EXTENDS>  
 </ELEMENTTYPE>  
  
 15 <H3>Party Name</H3>  
 <ELEMENTTYPE NAME="party.name">  
 <EXPLAIN><TITLE>A Party's Name</TITLE>  
 <SYNOPSIS>A party's name in a string of character.</SYNOPSIS></EXPLAIN>  
 <MODEL><STRING></STRING></MODEL>  
 20 </ELEMENTTYPE>  
  
 <H3>Address Set</H3>  
 <ELEMENTTYPE NAME="address.set">  
 <MODEL><SEQUENCE>  
 25 <ELEMENT NAME="address.physical"></ELEMENT>  
 <ELEMENT NAME="telephone" OCCURS="\*"></ELEMENT>  
 <ELEMENT NAME="fax" OCCURS="\*"></ELEMENT>  
 <ELEMENT NAME="email" OCCURS="\*"></ELEMENT>  
 <ELEMENT NAME="internet" OCCURS="\*"></ELEMENT>  
 30 </SEQUENCE></MODEL>  
 </ELEMENTTYPE>  
  
 <H3>Physical Address</H3>  
 <ELEMENTTYPE NAME="address.physical">  
 35 <EXPLAIN><TITLE>Physical Address</TITLE>  
 <SYNOPSIS>The street address, city, state, and zip code.</SYNOPSIS></EXPLAIN>  
 <MODEL><SEQUENCE>  
 <ELEMENT NAME="street"></ELEMENT>  
 <ELEMENT NAME="city"></ELEMENT>  
 40 <ELEMENT NAME="state"></ELEMENT>  
 <ELEMENT NAME="postcode" OCCURS="?"></ELEMENT>  
 <ELEMENT NAME="country"></ELEMENT>  
 </SEQUENCE></MODEL>  
 </ELEMENTTYPE>  
 45  
 <H3>Street</H3>  
 <ELEMENTTYPE NAME="street">  
 <EXPLAIN><TITLE>Street Address</TITLE>  
 <SYNOPSIS>Street or postal address </SYNOPSIS></EXPLAIN>  
 50 <MODEL><STRING></STRING></MODEL>  
 </ELEMENTTYPE>

5 <H3>City</H3>  
 <ELEMENTTYPE NAME="city">  
 <EXPLAIN><TITLE>City Name or Code</TITLE>  
 <P>The city name or code is a string that contains sufficient information to identify a city within a  
 designated state.</P>  
 <EXPLAIN>  
 <MODEL><STRING></STRING></MODEL>  
 </ELEMENTTYPE>  
 10 <H3>State</H3>  
 <ELEMENTTYPE NAME="state">  
 <EXPLAIN><TITLE>State, Province or Prefecture Name or Code</TITLE>  
 <P>The state name or code contains sufficient information to identify a state within a designated  
 country.</P></EXPLAIN>  
 15 <MODEL><STRING DATATYPE="COUNTRY.US.SUBENTITY"></STRING></MODEL>  
 </ELEMENTTYPE>  
 <H3>Postal Code</H3>  
 <ELEMENTTYPE NAME="postcode">  
 20 <EXPLAIN><TITLE>Postal Code</TITLE>  
 <P>A postal code is an alphanumeric code, designated by an appropriate postal authority, that is  
 used to identify a location or region within the jurisdiction of that postal authority. Postal authorities  
 include designated national postal authorities.</P></EXPLAIN>  
 <MODEL><STRING DATATYPE="string"></STRING></MODEL>  
 25 </ELEMENTTYPE>  
 <H3>Country</H3>  
 <ELEMENTTYPE NAME="country">  
 <EXPLAIN><TITLE>Country Code</TITLE>  
 30 <P>A country code is a two-letter code, designated by ISO, that is used to uniquely identify a  
 country.</P></EXPLAIN>  
 <MODEL><STRING DATATYPE="country"></STRING></MODEL>  
 </ELEMENTTYPE>  
 35 <H3>Network Addresses</H3>  
 <ELEMENTTYPE NAME="telephone">  
 <EXPLAIN><TITLE>Telephone Number</TITLE>  
 <P>A telephone number is a string of alphanumerics and punctuation that uniquely identifies a  
 telephone service terminal, including extension number.</P></EXPLAIN>  
 40 <MODEL><STRING></STRING></MODEL>  
 </ELEMENTTYPE>  
 <H3>Fax</H3>  
 <ELEMENTTYPE NAME="fax">  
 45 <EXPLAIN><TITLE>Fax Number</TITLE>  
 <P>A fax number is a string of alphanumerics and punctuation that uniquely identifies a fax service  
 terminal.</P>  
 <EXPLAIN>  
 <MODEL><STRING></STRING></MODEL>  
 50 </ELEMENTTYPE>

<H3>Email</H3>  
 <ELEMENTTYPE NAME="email">  
 <EXPLAIN><TITLE>Email Address</TITLE>  
 <P>An email address is a datatype-constrained string that uniquely identifies a mailbox on a  
 server.</P></EXPLAIN>  
 <MODEL><STRING DATATYPE="email"></STRING></MODEL>  
 </ELEMENTTYPE>  
  
 <H3>Internet Address</H3>  
 <ELEMENTTYPE NAME="internet">  
 <EXPLAIN><TITLE>Internet Address</TITLE>  
 <P>An Internet address is a datatype-constrained string that uniquely identifies a resource on the  
 Internet by means of a URL.</P></EXPLAIN>  
 <MODEL><STRING DATATYPE="url"></STRING></MODEL>  
 </ELEMENTTYPE>  
  
 </DTD>  
 </SCHEMA>

## Service Description Sample

<!DOCTYPE schema SYSTEM "bidl.dtd">  
 <SCHEMA>  
 <H1>Service Description Sample BID</H1>  
 <META  
 WHO.OWNS="Veo Systems" WHO.COPYRIGHT="Veo Systems"  
 WHEN.COPYRIGHT="1998" DESCRIPTION="Sample BID"  
 WHO.CREATED="\*" WHEN.CREATED="\*" \*  
 WHAT.VERSION="\*" WHO.MODIFIED="\*" \*  
 WHEN.MODIFIED="\*" WHEN.EFFECTIVE="\*" \*  
 WHEN.EXPIRES="\*" WHO.EFFECTIVE="\*" \*  
 WHO.EXPIRES="\*" \*>  
 </META>  
  
 <PROLOG>  
 <XMLDECL STANDALONE="no"></XMLDECL>  
 <DOCTYPE NAME="service">  
 <SYSTEM>service.dtd</SYSTEM></DOCTYPE>  
 </PROLOG>  
  
 <DTD NAME="service.dtd">  
 <H2>Services</H2>  
  
 <H3>Includes</H3>  
 <!-- INCLUDE><SYSTEM>comments.bim</SYSTEM></INCLUDE -->  
  
 <H3>Service Set</H3>  
 <ELEMENTTYPE NAME="service.set">  
 <EXPLAIN><TITLE>Service Set</TITLE>  
 <SYNOPSIS>A set of services.</SYNOPSIS></EXPLAIN>  
 <MODEL>



<ELEMENT NAME="service" OCCURS="1" /></ELEMENT>  
 </MODEL></ELEMENTTYPE>

5 <H3>Services Prototype</H3>  
 <PROTOTYPE NAME="prototype.service">  
 <EXPLAIN><TITLE>Service</TITLE></EXPLAIN>  
 <MODEL><SEQUENCE>  
 <ELEMENT NAME="service.name" /></ELEMENT>  
 <ELEMENT NAME="service.terms" OCCURS="1" /></ELEMENT>  
 10 <ELEMENT NAME="service.location" OCCURS="1" /></ELEMENT>  
 <ELEMENT NAME="service.operation" OCCURS="1" /></ELEMENT>  
 </SEQUENCE></MODEL>  
 <!-- ATTGROUP><IMPLEMENTS HREF="common.attrib"></IMPLEMENTS></ATTGROUP  
 -->  
 15 </PROTOTYPE>

<H3>Service</H3>  
 <INTRO><P>A service is an addressable network resource that provides interfaces to specific  
 operations by way of input and output documents.</P></INTRO>  
 20 <ELEMENTTYPE NAME="service">  
 <EXPLAIN><TITLE>Service</TITLE>  
 <P>A service is defined in terms of its name, the location(s) at which the service is available, and  
 the operation(s) that the service performs.</P></EXPLAIN>  
 <MODEL><SEQUENCE>  
 25 <ELEMENT NAME="service.name" /></ELEMENT>  
 <ELEMENT NAME="service.location" /></ELEMENT>  
 <ELEMENT NAME="service.operation" OCCURS="1" /></ELEMENT>  
 <ELEMENT NAME="service.terms" /></ELEMENT>  
 </SEQUENCE></MODEL>  
 30 </ELEMENTTYPE>

<H3>Service Name</H3>  
 <ELEMENTTYPE NAME="service.name">  
 <EXPLAIN><TITLE>Service Name</TITLE>  
 35 <P>The service name is a human-readable string that ascribes a moniker for a service. It may be  
 employed in user interfaces and documentation, or for other purposes.</P></EXPLAIN>  
 <MODEL><STRING></STRING></MODEL>  
 </ELEMENTTYPE>

40 <H3>Service Location</H3>  
 <ELEMENTTYPE NAME="service.location">  
 <EXPLAIN><TITLE>Service Location</TITLE>  
 <SYNOPSIS>A URI of a service.</SYNOPSIS>  
 <P>A service location is a datatype-constrained string that locates a service on the Internet by  
 45 means of a URI.</P></EXPLAIN>  
 <MODEL><STRING DATATYPE="uri"></STRING></MODEL>  
 </ELEMENTTYPE>

<H3>Service Operations</H3>  
 50 <INTRO><P>A service operation consists of a name, location and its interface, as identified by the  
 type of input document that the service operation accepts and by the type of document that it will

return as a result.</P></INTRO>  
 <ELEMENTTYPE NAME="service.operation">  
 <EXPLAIN><TITLE>Service Operations</TITLE>  
 <P>A service operation must have a name, a location, and at least one document type as an input,  
 5 with one or more possible document types returned as a result of the operation.</P>  
 </EXPLAIN>  
 <MODEL><SEQUENCE>  
 <ELEMENT NAME="service.operation.name"></ELEMENT>  
 <ELEMENT NAME="service.operation.location"></ELEMENT>  
 10 <ELEMENT NAME="service.operation.input"></ELEMENT>  
 <ELEMENT NAME="service.operation.output"></ELEMENT>  
 </SEQUENCE></MODEL>  
 </ELEMENTTYPE>  
 15 <H3>Service Operation Name</H3>  
 <ELEMENTTYPE NAME="service.operation.name">  
 <EXPLAIN><TITLE>Service Operation Name</TITLE>  
 <P>The service operation name is a human-readable string that ascribes a moniker to a service  
 20 operation. It may be employed in user interfaces and documentation, or for other  
 purposes.</P></EXPLAIN>  
 <MODEL><STRING></MODEL>  
 </ELEMENTTYPE>  
 <H3>Service Operation Location</H3>  
 25 <INTRO><P>The service location is a network resource. That is to say, a URL.</P></INTRO>  
 <ELEMENTTYPE NAME="service.operation.location">  
 <EXPLAIN><TITLE>Service Operation Location</TITLE>  
 <SYNOPSIS>A URI of a service operation.</SYNOPSIS>  
 <P>A service operation location is a datatype-constrained string that locates a service operation on  
 30 the Internet by means of a URL.</P></EXPLAIN>  
 <MODEL><STRING DATATYPE="url"></STRING></MODEL>  
 </ELEMENTTYPE>  
 <H3>Service Operation Input Document</H3>  
 35 <INTRO><P>The input to a service operation is defined by its input document type. That is, the  
 service operation is invoked when the service operation location receives an input document whose  
 type corresponds to the document type specified by this element.</P>  
 <P>Rather than define the expected input and output document types in the market participant  
 40 document, this example provides pointers to externally-defined BIDs. This allows reuse of the same  
 BID as the input and/or output document type for multiple operations. In addition, it encourages  
 parallel design and implementation.</P></INTRO>  
 <ELEMENTTYPE NAME="service.operation.input">  
 <EXPLAIN><TITLE>Service Operation Input</TITLE>  
 <SYNOPSIS>Identifies the type of the service operation input document.</SYNOPSIS>  
 45 <P>Service location input is a datatype-constrained string that identifies a BID on the Internet by  
 means of a URL.</P>  
 </EXPLAIN>  
 <MODEL><STRING DATATYPE="url"></STRING></MODEL>  
 </ELEMENTTYPE>  
 50 <H3>Service Operation Output Document Type</H3>

5 <INTRO><P>The output of a service operation is defined by its output document type(s). That is,  
 the service operation is expected to emit a document whose type corresponds to the document type  
 specified by this element.</P></INTRO>  
 <ELEMENTTYPE NAME="service.operation.output">  
 <EXPLAIN><TITLE>Service Operation Output</TITLE>  
 <SYNOPSIS>Identifies the type of the service operation output document.</SYNOPSIS>  
 <P>Service location output is a datatype-constrained string that identifies a BID on the Internet by  
 means of a URI.</P>  
 10 </EXPLAIN>  
 <MODEL><STRING DATATYPE="uri"></STRING></MODEL>  
 </ELEMENTTYPE>  
 <H3>Service Terms</H3>  
 15 <INTRO><P>This is a simple collection of string elements, describing the terms of an  
 agreement.</P></INTRO>  
 <ELEMENTTYPE NAME="service.terms">  
 <EXPLAIN><TITLE>Service Terms</TITLE>  
 <SYNOPSIS>Describes the terms of a given agreement.</SYNOPSIS>  
 </EXPLAIN>  
 20 <MODEL><STRING DATATYPE="string"></STRING></MODEL>  
 </ELEMENTTYPE>  
 </DTD>  
 25 </SCHEMA>

The service DTD schema may be extended with a service type element in  
 a common business language repository as follows:

30 <!ELEMENT service.type EMPTY>  
 <!ATTLIST service.type  
 service.type.name (  
 catalog.operator  
 | commercial.directory.operator  
 | eft.services.provider  
 35 | escrower  
 | fulfillment.service  
 | insurer  
 | manufacturer  
 | market.operator  
 40 | order.originator  
 | ordering.service  
 | personal.services.provider  
 | retailer  
 | retail.aggregator  
 45 | schema.resolution.service  
 | service.provider  
 | shipment.acceptor  
 | shipper  
 | van

| wholesale.aggregator  
) #REQUIRED  
%common.attrib;

5

The service type element above illustrates interpretation information carried by a business interface definition, in this example a content form allowing identification of any one of a list of valid service types. Other interpretation information includes data typing, such as for example the element <H3>Internet Address</H3> including the content form "url" and expressed in the data type "string." Yet other interpretation information includes mapping of codes to elements of a list, such as for example the element <H3>State</H3> including the code mapping for states in the file "COUNTRY.US.SUBENTITY."

10

15

The service description referred to by the market participant DTD defines the documents that the service accepts and generates upon competition of the service. A basic service description is specified below as a XML document transact.dtd.

20

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30

Transact.dtd models a transaction description, such as an invoice, or a description of an exchange of value. This document type supports many uses, so the transaction description element has an attribute that allows user to distinguish among invoices, performance, offers to sell, requests for quotes and so on. The exchange may occur among more than two parties, but only two are called out, the offeror and the counter party, each of whom is represented by a pointer to a document conforming to the market participant DTD outlined above. The counter party pointer is optional, to accommodate offers to sell. The exchange description is described in the module tranprim.mod listed below, and includes pricing and subtotals. Following the exchange description, charges applying to the transaction as a whole may be provided, and a total charge must be supplied. Thus, the transaction description schema document transact.dtd for this example is set forth below:

<!-- transact.dtd Version: 1.0 -->  
<!-- Copyright 1998 Veo Systems, Inc. -->

<!-- ELEMENT transaction.description (meta?, issuer.pointer,  
counterparty.pointer?, exchange.description+, general.charges?,  
net.total?)>  
<!-- ATTLIST transaction.description  
transaction.type (invoice | pro.forma | offer.to.sell | order  
| request.for.quote | request.for.bid  
| request.for.proposal | response.to.request.for.quote  
| response.to.request.for.bid  
| response.to.request.for.proposal) "invoice"  
%common.attrib;  
%altrep.attrib;  
%ttl.attrib;  
>

Representative market participant, and service DTDs, created according  
to the definitions above, are as follows:

#### Market Participant DTD

<!-- ELEMENT business (party.name+, address.set) >  
<!-- ATTLIST business business.number CDATA #REQUIRED  
>  
<!-- ELEMENT party.name (#PCDATA) >  
<!-- ELEMENT city (#PCDATA) >  
<!-- ELEMENT internet (#PCDATA) >  
<!-- ELEMENT country (#PCDATA) >  
<!-- ELEMENT state (#PCDATA) >  
<!-- ELEMENT email (#PCDATA) >  
<!-- ELEMENT address.physical (street, city, state, postcode?, country) >  
<!-- ELEMENT telephone (#PCDATA) >  
<!-- ELEMENT person (party.name+, address.set) >  
<!-- ATTLIST person SSN CDATA #IMPLIED  
>  
<!-- ELEMENT fax (#PCDATA) >  
<!-- ELEMENT street (#PCDATA) >  
<!-- ELEMENT address.set (address.physical, telephone\*, fax\*, email\*, internet\*) >  
<!-- ELEMENT postcode (#PCDATA) >  
<!-- ELEMENT market.participant (business | person) >

#### Service DTD

<!-- ELEMENT service.location (#PCDATA) >  
<!-- ELEMENT service.terms (#PCDATA) >  
<!-- ELEMENT service.operation.name (#PCDATA) >

<!ELEMENT service.operation (service.operation.name , service.operation.location ,  
 service.operation.input , service.operation.output) >  
 <!ELEMENT service (service.name , service.location , service.operation+ , service.terms) >  
 <!ELEMENT service.operation.input (#PCDATA) >  
 <!ELEMENT service.operation.location (#PCDATA) >  
 <!ELEMENT service.name (#PCDATA) >  
 <!ELEMENT service.set (service+ )>  
 <!ELEMENT service.operation.output (#PCDATA) >

One instance of a document produced according to the transact.dtd follows:

<?xml version="1.0"?>  
 <!-- Rorder.xml Version: 1.0 -->  
 <!-- Copyright 1998 Veo Systems, Inc. -->  
  
 <!DOCTYPE transaction.description SYSTEM "urn:x-veosystems:dtd:cbl:transact:1.0">  
 <transaction.description transaction.type="order">  
 <meta>  
 <urn?urn:x-veosystems:doc:00023  
 </urn>  
 <thread.id party.assigned.by="reqorg">FRT876  
 </thread.id>  
 </meta>  
 <issuer.pointer>  
 <xll.locator xlink="reqorg.xml">Customer  
 Pointer  
 </xll.locator>  
 </issuer.pointer>  
 <counterparty.pointer>  
 <xll.locator xlink="compu.xml">Catalog entry owner  
 pointer  
 </xll.locator>  
 </counterparty.pointer>  
 <exchange.description>  
 <line.item>  
 <product.instance>  
 <product.description.pointer>  
 <xll.locator xlink="cthink.xml">Catalogue Entry Pointer  
 </xll.locator>  
 </product.description.pointer>  
 <product.specifics>  
 <info.description.set>  
 <info.description>  
 <xml.descriptor>  
 <doctype>  
 <dtd.system.id="urn:x-veosystems:dtd:cbl:gprod:1.0"/>  
 </doctype>  
 <xml.descriptor.details>  
 <xll.xptr.fragment>DESCENDANT(ALL,os)STRING("Windows  
 95")

5                   </xl.xptr.frag>  
                   <xl.xptr.frag>DECENDANT(ALL,p.speed)STRING("200")  
                   </xl.xptr.frag>  
                   <xl.xptr.frag>DESCENDANT(ALL,hard.disk.capacity)  
                   STRING("4")  
                   </xl.xptr.frag>  
                   <xl.xptr.frag>DESCENDANT(ALL,d.size)STRING("14.1")  
                   </xl.xptr.frag>  
 10                  </xml.descriptor.details>  
                   </xml.descriptor>  
                   </info.description>  
                   </info.description.set>  
                   </product.specifics>  
 15                  <quantity>1  
                   </quantity>  
                   </product.instance>  
                   <shipment.coordinates.set>  
                   <shipment.coordinates>  
                   <shipment.destination>  
 20                    <address.set>  
                   <address.named>SW-1  
                   </address.named>  
                   <address.physical>  
 25                    <building.sublocation>208C</building.sublocation>  
                   <location.in.street>123  
                   </location.in.street>  
                   <street>Frontage Rd.  
                   </street>  
 30                    <city>Beltway  
                   </city>  
                   <country.subentity.us  
                   country.subentity.us.name="MD"/>  
                   <postcode>20000  
                   </postcode>  
 35                    </address.physical>  
                   <telephone>  
                   <telephone.number>617-666-2000  
                   </telephone.number>  
                   <telephone.extension>1201  
 40                    </telephone.extension>  
                   </telephone>  
                   </address.set>  
                   </shipment.destination>  
 45                  <shipment.special>No deliveries after 4 PM</shipment.special>  
                   </shipment.coordinates>  
                   </shipment.coordinates.set>  
                   <payment.set>  
                   <credit card  
 50                    issuer.name="VISA"  
                   instrument.number="3787-812345-67893"

```

    expiry.date="12/97"
    currency.code="USD"/>
    <amount.group>
        <amount.monetary currency.code="USD">3975
    </amount.monetary>
    </amount.group>
    </payment.set>
</line.item>
</exchange.description>
</transaction.description>

```

Accordingly, the present invention provides a technique by which a market participant is able to identify itself, and identify the types of input documents and the types of output documents with which it is willing to transact business. The particular manner in which the content carried in such documents is processed by the other parties to the transaction, or by the local party, is not involved in establishing a business relationship nor carrying out transactions.

Fig. 3 provides a simplified view of a participant node in the network according to the present invention. The node illustrated in Fig. 3 includes a network interface 300 which is coupled to a communication network on port 301. The network interface is coupled to a document parser 301. The parser 301 supplies the logical structures from an incoming document to a translator module 302, which provides for translating the incoming document into a form usable by the host transaction system, and vice versa translating the output of host processes into the format of a document which matches the output document form in the business interface definition for transmission to a destination. The parser 301 and translator 302 are responsive to the business interface definition stored in the participant module 303.

The output data structures from the translator 302 are supplied to a transaction process front end 304 along with events signaled by the parser 301. The front end 304 in one embodiment consists of a JAVA virtual machine or other similar interface adapted for communication amongst diverse nodes in a network. The transaction processing front end 304 responds to the events



indicated by the parser 301 and the translator 302 to route the incoming data to appropriate functions in the enterprise systems and networks to which the participant is coupled. Thus, the transaction process front end 304 in the example of Fig. 3 is coupled to commercial functions 305, database functions 306, other enterprise functions such as accounting and billing 307, and to the specific event listeners and processors 308 which are designed to respond to the events indicated by the parser.

The parser 301 takes a purchase order like that in the example above, or other document, specified according to the business interface definition and creates a set of events that are recognized by the local transaction processing architecture, such as a set of JAVA events for a JAVA virtual machine.

The parser of the present invention is uncoupled from the programs that listen for events based on the received documents. Various pieces of mark-up in a received document or a complete document meeting certain specifications serve as instructions for listening functions to start processing. Thus listening programs carry out the business logic associated with the document information. For example, a program associated with an address element may be code that validates the postal code by checking the database. These listeners subscribe to events by registering with a document router, which directs the relevant events to all subscribers who are interested in them.

For example, the purchase order specified above may be monitored by programs listening for events generated by the parser, which would connect the document or its contents to an order entry program. Receipt of product descriptions within the purchase order, might invoke a program to check inventory. Receipt of address information within the purchase order, would then invoke a program to check availability of services for delivery. Buyer information fields in the document, could invoke processes to check order history for credit worthiness or to offer a promotion or similar processing based on knowing the identity of the consumer.

Complex listeners can be created as configurations of primitive ones. For example, a purchase order listener may contain and invoke the list listeners set out in the previous paragraph, or the list-members may be invoked on their own. Note that the applications that the listeners run are unlikely to be native XML processes or native JAVA processes. In these cases, the objects would be transformed into the format required by the receiving trans application. When the application finishes processing, its output is then transformed back to the XML format for communication to other nodes in the network.

It can be seen that the market participant document type description, and the transaction document type description outlined above include a schematic mapping for logic elements in the documents, and include mark-up language based on natural language. The natural language mark-up, and other natural language attributes of XML facilitate the use of XML type mark-up languages for the specification of business interface definitions, service descriptions, and the descriptions of input and output documents.

The participant module 303 in addition to storing the business interface definition includes a compiler which is used to compile objects or other data structures to be used by the transaction process front end 304 which corresponds to the logical structures in the incoming documents, and to compile the translator 302. Thus, as the business interface definition is modified or updated by the participant as the transactions with which the participant is involved change, the translator 302 and parser 301 are automatically kept up to date.

In a preferred system, the set of JAVA events is created by a compiler which corresponds to the grove model of SGML, mainly the standard Element Structure Information Set augmented by the "property set" for each element. *International Standard ISO/IEC 10179:1996 (E), Information Technology -- Processing Languages -- Document Style Semantics and Specification Language (DSSSL)*. Turning the XML document into a set of events for the world to process contrasts with the normal model of parsing in which the parser

output is maintained as an internal data structure. By translating the elements of the XML document into JAVA events or other programming structures that are suitable for use by the transaction processing front end of the respective nodes enables rich functionality at nodes utilizing the documents being traded.

Thus, the transaction process front end 304 is able to operate in a publish and subscribe architecture that enables the addition of new listener programs without the knowledge of or impact on other listening programs in the system. Each listener, 305, 306, 307, 308 in Fig. 3, maintains a queue in which the front end 304 directs events. This enables multiple listeners to handle events in parallel at their own pace.

Furthermore, according to the present invention the applications that the listeners run need not be native XML functions, or native functions which match the format of the incoming document. Rather, these listeners may be JAVA functions, if the transaction process front end 304 is a JAVA interface, or may be functions which run according to a unique transaction processing architecture. In these cases, the objects would be transformed into the format required by the receiving application. When the application of the listener finishes, its output is then transformed back into the format of a document as specified by the business interface definition in the module 303. Thus, the translator 302 is coupled to the network interface 300 directly for supplying the composed documents as outputs.

The listeners coupled to the transaction processing front end may include listeners for input documents, listeners for specific elements of the input documents, and listeners for attributes stored in particular elements of the input document. This enables diverse and flexible implementations of transaction processes at the participant nodes for filtering and responding to incoming documents.

Fig. 4 illustrates a process of receiving and processing an incoming document for the system of Fig. 3. Thus, the process begins by receiving a

document at the network interface (step 400). The parser identifies the document type (401) in response to the business interface definition. Using the business interface definition, which stores a DTD for the document in the XML format, the document is parsed (step 402). Next, the elements and attributes of the document are translated into the format of the host (step 403). In this example, the XML logic structures are translated into JAVA objects which carry the data of the XML element as well as methods associated with the data such as get and set functions. Next, the host objects are transferred to the host transaction processing front end (step 404). These objects are routed to processes in response to the events indicated by the parser and the translator. The processes which receive the elements of the document are executed and produce an output (step 405). The output is translated to the format of an output document as defined by the business interface definition (step 406). In this example, the translation proceeds from the form of a JAVA object to that of an XML document. Finally, the output document is transmitted to its destination through the network interface (step 407).

Fig. 5 is a more detailed diagram of the event generator/event listener mechanism for the system of Fig. 3. In general the approach illustrated in Fig. 5 is a refinement of the JAVA JDK 1.1 event model. In this model, three kinds of objects are considered. A first kind of object is an event object which contains information about the occurrence of an event. There may be any number of kinds of event objects, corresponding to all the different kinds of events which can occur. A second kind of object is an Event generator, which monitors activity and generates event objects when something happens. Third, event listeners, listen for event objects generated by event generators. Event listeners generally listen to specific event generators, such as for mouse clicks on a particular window. Event listeners call an "ADD event listener" method on the event generator. This model can be adapted to the environment of Fig. 3 in which the objects are generated in response to parsing and walking a graph of

objects, such as represented by an XML document.

The system illustrated in Fig. 5 includes a generic XML parser 500. Such parser can be implemented using a standard call back model. When a parsing event occurs, the parser calls a particular method in an application object, passing in the appropriate information in the parameters. Thus a single application 501 resides with the parser. The application packages the information provided by the parser in an XML event object and sends it to as many event listeners as have identified themselves, as indicated by the block 502. The set of events 502 is completely parser independent. The events 502 can be supplied to any number of listeners and any number of threads on any number of machines. The events are based on the element structure information set ESIS in one alternative. Thus, they consist of a list of the important aspects of a document structure, such as the starts and ends of elements, or of the recognition of an attribute. XML (and SGML) parsers generally use the ESIS structure as a default set of information for a parser to return to its application.

A specialized ESIS listener 503 is coupled to the set of events 502. This listener 503 implements the ESIS listener API, and listens for all XML events from one or more generators. An element event generator 504 is a specialized ESIS listener which is also an XML event generator. Its listeners are objects only interested in events for particular types of elements. For example in an HTML environment, the listener may only be interested in ordered lists, that is only the part of the document between the <OL> and </OL> tags. For another example, a listener may listen for "party.name" elements, or for "service.name" elements according to the common business language, from the example documents above, process the events to ensure that the elements carry data that matches the schematic mapping for the element, and react according to the process needed at the receiving node.

This allows the system to have small objects that listen for particular parts of the document, such as one which only adds up prices. Since listeners

can both add and remove themselves from a generator, there can be a listener which only listens to for example the <HEAD> part of an HTML document. Because of this and because of the highly recursive nature of XML documents, it is possible to write highly targeted code, and to write concurrent listeners. For example, an <OL> listener can set up an <LI> listener completely separate from the manner in which the <UL> (unordered list) listener sets up its <LI> listener. Alternatively, it can create a listener which generates a graphic user interface and another which searches a database using the same input. Thus, the document is treated as a program executed by the listeners, as opposed to the finished data structure which the application examines one piece at a time. If an application is written this way, it is not necessary to have the entire document in memory to execute an application.

The next listener coupled to the set of events 502 is an attribute filter 505. The attribute filter 505 like the element filter 504 is an attribute event generator according to the ESIS listener model. The listener for an attribute filter specifies the attributes it is interested in, and receives events for any element having that attribute specified. So for example, a font manager might receive events only for elements having a font attribute, such as the <P FONT="Times Roman" /P>.

The element event generator 504 supplies such element objects to specialize the element listeners 504A.

The attribute event generator 505 supplies the attribute event objects to attribute listeners 505A. Similarly, the attribute objects are supplied to a "architecture" in the sense of an SGML/XML transformation from one document type to another using attributes. Thus the architecture of 505B allows a particular attribute with a particular name to be distinguished. Only elements with that attribute defined become part of the output document, and the name of the element in the output document is the value of the attribute in the input document. For example, if the architecture 505B is HTML, the string:

<PURCHASES HTML="OL"><ITEM HTML="LI"><NAME  
HTML="B">STUFF</NAME><PRICE  
HTML="B">123</PRICE></ITEM></PURCHASES>

translates into:

5           <OL><LI><B>STUFF</B><B>123</B></LI></OL>

which is correct HTML.

The next module which is coupled to the set of events 502 is a tree  
builder 506. The tree builder takes a stream of XML events and generates a tree  
representation of the underlying document. One preferred version of the tree  
10       builder 506 generates a document object model DOM object 507, according to  
the specification of the W3C (See, [http://www.w3.org/TR/1998/  
WD-DOM-19980720/introduction.html](http://www.w3.org/TR/1998/WD-DOM-19980720/introduction.html)). However listeners in event streams  
can be used to handle most requirements, a tree version is useful for supporting  
queries around a document, reordering of nodes, creation of new documents,  
15       and supporting a data structure in memory from which the same event stream  
can be generated multiple times, for example like parsing the document many  
times. A specialized builder 508 can be coupled to the tree builder 506 in order  
to build special subtrees for parts of the document as suits a particular  
implementation.

20       In addition to responses to incoming documents, other sources of XML  
events 502 can be provided. Thus, an event stream 510 is generated by walking  
over a tree of DOM objects and regenerating the original event stream created  
when the document was being parsed. This allows the system to present the  
appearance that the document is being parsed several times.

25       The idea of an object which walks a tree and generates a stream of events  
can be generalize beyond the tree of DOM objects, to any tree of objects which  
can be queried. Thus, a JAVA walker 512 may be an application which walks a  
tree of JAVA bean components 513. The walker walks over all the publicly  
accessible fields and methods. The walker keeps track of the objects it has

already visited to ensure that it doesn't go into an endless cycle. JAVA events 514 are the type of events generated by the JAVA walker 512. This currently includes most of the kinds of information one can derive from an object. This is the JAVA equivalent of ESIS and allows the same programming approach applied to XML to be applied to JAVA objects generally, although particularly to JAVA beans.

The JAVA to XML event generator 515 constitutes a JAVA listener and a JAVA event generator. It receives the stream of events 514 from the JAVA walker 512 and translates selected ones to present a JAVA object as an XML document. In the one preferred embodiment, the event generator 515 exploits the JAVA beans API. Each object seen becomes an element, with the element name the same as the class name. Within that element, each embedded method also becomes an element whose content is the value returned by invoking the method. If it is an object or an array of objects, then these are walked in turn.

Fig. 6 outlines a particular application built on the framework of Fig. 5. This application takes in an XML document 600 and applies it to a parser/generator 601. ESIS events 602 are generated and supplied to an attribute generator 603 and tree builder 604. The attribute generator corresponds to the generator 505 of Fig. 5. It supplies the events to the "architecture" 505B for translating the XML input to an HTML output for example. These events are processed in parallel as indicated by block 605 and processed by listeners. The output of the listeners are supplied to a document writer 506 and then translated back to an XML format for output. Thus for example this application illustrated in Fig. 6 takes an XML document and outputs an HTML document containing a form. The form is then sent to a browser, and the result is converted back to XML. For this exercise, the architecture concept provides the mapping from XML to HTML. The three architectures included in Fig. 6 include one for providing the structure of the HTML document, such as tables and lists, a second specifying text to be



displayed, such as labels for input fields on the browser document, and the third describes the input fields themselves. The elements of the XML document required to maintain the XML documents structure become invisible fields in the HTML form. This is useful for use in reconstruction of the XML document from the information the client will put into the HTTP post message that is sent back to the server. Each architecture takes the input document and transforms it into an architecture based on a subset of HTML. Listeners listening for these events, output events for the HTML document, which then go to a document writer object. The document writer object listens to XML events and turns them back into an XML document. The document writer object is a listener to all the element generators listening to the architectures in this example.

The organization of the processing module illustrated in Figs. 5 and 6 is representative of one embodiment of the parser and transaction process front end for the system of Fig. 3. As can be seen, a very flexible interface is provided by which diverse transaction processes can be executed in response to the incoming XML documents, or other structured document formats.

Fig. 7 illustrates a node similar to that of Fig. 3, except that it includes a business interface definition builder module 700. Thus, the system of Fig. 7 includes a network interface 701, a document parser 702, and a document translator 703. The translator 703 supplies its output to a transaction processing front end 704, which in turn is coupled to listening functions such as commercial functions 705, a database 706, enterprise functions 707, and other generic listeners and processors 708. As illustrated in Fig. 7, the business interface definition builder 700 includes a user interface, a common business library CBL repository, a process for reading complementary business interface definitions, and a compiler. The user interface is used to assist an enterprise in the building of a business interface definition relying on the common business library repository, and the ability to read complementary business interface definitions. Thus, the input document of a complementary business interface definition can

be specified as the output document of a particular transaction, and the output document of the complementary business interface definition can be specified as the input to such transaction process. In a similar manner a transaction business interface definition can be composed using components selected from the CBL repository. The use of the CBL repository encourages the use of standardized document formats, such as the example schema (bid1) documents above, logical structures and interpretation information in the building of business interface definitions which can be readily adopted by other people in the network.

The business interface definition builder module 700 also includes a compiler which is used for generating the translator 703, the objects to be produced by the translator according to the host transaction processing architecture, and to manage the parsing function 702.

Fig. 8 is a heuristic diagram showing logical structures stored in the repository in the business interface definition builder 700. Thus, the repository storage representative party business interface definitions 800, including for example a consumer BID 801, a catalog house BID 802, a warehouse BID 803, and an auction house BID 804. Thus, a new participant in an online market may select as a basic interface description one of the standardized BIDs which best matches its business. In addition, the repository will store a set of service business interface definitions 805. For example, an order entry BID 806, an order tracking BID 807, an order fulfillment BID 808, and a catalog service BID 809 could be stored. As a new participant in the market builds a business interface definition, it may select the business interface definitions of standardized services stored in the repository.

In addition to the party and service BIDs, input and output document BIDs are stored in the repository as indicated by the field 810. Thus, a purchase order BID 811, an invoice BID 812, a request for quote BID 813, a product availability report BID 814, and an order status BID 815 might be stored in the repository.

The repository, in addition to the business interface definitions which in a preferred system are specified as document type definitions according to XML, stores interpretation information in the form of semantic maps as indicated by the field 816. Thus, semantic maps which are used for specifying weights 817, currencies 818, sizes 819, product identifiers 820, and product features 821 in this example might be stored in the repository. Further, the interpretation information provides for typing of data structures within the logical structures of documents.

In addition, logical structures used in the composing of business interface definitions could be stored in the repository as indicated by block 822. Thus, forms for providing address information 823, forms for providing pricing information 824, and forms for providing terms of contractual relationships could be provided 825. As the network expands, the CBL repository will also expand and standardize tending to make the addition of new participants, and the modification of business interface definitions easier.

Fig. 9 illustrates the process of building a business interface definition using the system of Fig. 7. The process begins by displaying a BID builder graphical interface to the user (step 900). The system accepts user input identifying a participant, service and document information generated by the graphical interface (step 901).

Next, any referenced logical structures, interpretation information, document definitions and/or service definitions are retrieved from the repository in response to user input via the graphical user interface (step 902). In the next step, any complementary business interface definitions or components of business interface definitions are accessed from other participants in the network selected via user input, by customized search engines, web browsers or otherwise (step 903). A document definition for the participant is created using the information gathered (step 904). The translators for the document to host and host to document mappers are created by the compiler (step 905). Host

architecture data structures corresponding to the definition are created by the compiler (step 906), and the business interface definition which has been created is posted on the network, such as by posting on a website or otherwise, making it accessible to other nodes in the network (step 907).

Business interface definitions tell potential trading partners the online services the company offers and which documents to use to invoke those services. Thus, the services are defined in the business interface definition by the documents that they accept and produce. This is illustrated in the following fragment of an XML service definition.

```
<service>
  <service.name>Order Service</service.name>
  <service.location>www.vEOSystems.com/order</service.location>
  <service.op>
    <service.op.name>Submit Order</service.op.name>
    <service.op.inputdoc>www.commerce.net/po.dtd</service.op.inputdoc>
    <service.op.outputdoc>
      www.vEOSystems.com/invoice.dtd</service.op.outputdoc>
    </service.op>
    <service.op>
      <service.op.name>Track Order</service.op.name>
      <service.op.inputdoc> www.commerce.net
        /request.track.dtd</service.op.inputdoc>
      <service.op.outputdoc>
        www.vEOSystems.com/response.track.dtd</service.op.outputdoc>
      </service.op>
    </service>
```

This XML fragment defines a service consisting of two transactions, one for taking orders and the other for tracking them. Each definition expresses a contract or promise to carry out a service if a valid request is submitted to the specified Web address. The Order service here requires an input document that

conforms to a standard "po.dtd" Document Type Definition located in the repository, which may be local, or stored in an industry wide registry on the network. If a node can fulfill the order, it will return a document conforming to a customized "invoice.dtd" whose definition is local. In effect, the company is promising to do business with anyone who can submit a Purchase Order that conforms to the XML specification it declares. No prior arrangement is necessary.

The DTD is the formal specification or grammar for documents of a given type; it describes the elements, their attributes, and the order in which they must appear. For example, purchase orders typically contain the names and addresses of the buyer and seller, a set of product descriptions, and associated terms and conditions such as price and delivery dates. In Electronic Data Interchange EDI for example, the X12 850 specification is a commonly used model for purchase orders.

The repository encourages the development of XML document models from reusable semantic components that are common to many business domains. Such documents can be understood from their common message elements, even though they may appear quite different. This is the role of the Common Business Library repository.

The Common Business Library repository consists of information models for generic business concepts including:

- business description primitives like companies, services, and products;
- business forms like catalogs, purchase orders, and invoices;
- standard measurements, date and time, location, classification codes.

This information is represented as an extensible, public set of XML building blocks that companies can customize and assemble to develop XML applications quickly. Atomic CBL elements implement industry messaging

standards and conventions such as standard ISO codes for countries, currencies, addresses, and time. Low level CBL semantics have also come from analysis of proposed metadata frameworks for Internet resources, such as Dublin Core.

The next level of elements use these building blocks to implement the basic business forms such as those used in X12 EDI transactions as well as those used in emerging Internet standards such as OTP (Open Trading Protocol) and OBI (Open Buying on the Internet).

CBL's focus is on the functions and information that are common to all business domains (business description primitives like companies, services, and products; business forms like catalogs, purchase orders, and invoices; standard measurements, date and time, location, classification codes). CBL builds on standards or industry conventions for semantics where possible (e.g., the rules that specify "day/month/year" in Europe vs "month/day/year" in the U.S. are encoded in separate CBL modules).

The CBL is a language that is used for designing applications. It is designed to bridge the gap between the "document world" of XML and the "programming world" of JAVA or other transaction processing architectures. Schema embodies a philosophy of "programming with documents" in which a detailed formal specification of a document type is the master source from which a variety of related forms can be generated. These forms include XML DTDs for CBL, JAVA objects, programs for converting XML instances to and from the corresponding JAVA objects, and supporting documentation.

The CBL creates a single source from which almost all of the pieces of a system can be automatically generated by a compiler. The CBL works by extending SGML/XML, which is normally used to formally define the structures of particular document types, to include specification of the semantics associated with each information element and attribute. The limited set of (mostly) character types in SGML/XML can be extended to declare any kind of datatype.

Here is a fragment from the CBL definition for the "datetime" module:

```
5      <!-- datetime.mod Version: 1.0 -->
      <!-- Copyright 1998 Veo Systems, Inc. -->
      ...
      <!-- ELEMENT year (#PCDATA)>
      <!-- ATTLIST year
           schema CDATA #FIXED "urn:x-veosystems:stds:iso:8601:3.8"
      >
10     <!-- ELEMENT month (#PCDATA)>
      <!-- ATTLIST month
           schema CDATA #FIXED "urn:x-veosystems:stds:iso:8601:3.12"
      >
15     ...
```

In this fragment, the ELEMENT "year" is defined as character data, and an associated "schema" attribute, also character data, defines the schema for "year" to be section 3.8 of the ISO 8601 standard.

20 This "datetime" CBL module is in fact defined as an instance of the Schema DTD. First, the module name is defined. Then the "datetime" element "YEAR" is bound to the semantics of ISO 8601:

```
25      <!-- DOCTYPE SCHEMA SYSTEM "schema.dtd">
      <!-- SCHEMA --><H1>Date and Time Module</H1>
      ...
      <!-- ELEMENTTYPE NAME="year" DATATYPE="YEAR"><MODEL>
           <!-- STRING
           DATATYPE="YEAR"></STRING></MODEL>
30      <!-- ATIDEF NAME="schema:iso8601" DATATYPE="CDATA">
           <!-- FIXED>3.8
           Gregorian calendar</FIXED></ATTDEF></ELEMENTTYPE>
      ...
35
```

The example market participant and service modules above are also stored in the CBL repository.

In Fig. 10, an Airbill 1000 is being defined by customizing a generic purchase order DTD 1001, adding more specific information about shipping weight 1002. The generic purchase order 1001 was initially assembled from the

40

ground up out of CBL modules for address, date and time, currency, and vendor and product description. Using CBL thus significantly speeds the development and implementation of XML commerce applications. More importantly, CBL makes it easier for commercial applications to be interconnected.

5 In the CBL, XML is extended with a schema. The extensions add strong-typing to XML elements so that content can be readily validated. For example, an element called <CPU\_clock\_speed> can be defined as an integer with a set of valid values: {100, 133, 166, 200, 233, 266 Mhz.}. The schema also adds class-subclass hierarchies, so that information can be readily instantiated from class definitions. A laptop, for instance, can be described as a computer with additional tags for features such as display type and battery life. These and other extensions facilitate data entry, as well as automated translations between XML and traditional Object-Oriented and relational data models.

10 Thus the completed BID is run through the compiler which produces the DTDs for the actual instance of a participant and a service as outlined above, the JAVA beans which correspond to the logical structures in the DTD instances, and transformation code for transforming from XML to JAVA and from JAVA to XML. In alternative systems documentation is also generated for display on a user interface or for printing by a user to facilitate use of the objects.

15 For the example market participant and service DTDs set forth above, the JAVA beans generated by the compiler are set forth (with some redactions for conciseness) as follows:

```
import com.veo.vsp.doclet.meta.Document;
public class AddressPhysical extends Document {
25     public static final String DOC_TYPE = "address.physical";
        String mStreet;
        String mCity;
        public final static int AK = 0;
        public final static int AL = 1;
        public final static int AR = 2;
        public final static int AZ = 3;
        public final static int CA = 4;
30     ..
}
```



```

        public final static int WI = 48;
        public final static int WV = 49;
        public final static int WY = 50;
        int mState;
        String mPostcode;
        public final static int AD = 51;
        public final static int AE = 52;
        public final static int AF = 53;
        public final static int AG = 54;
        public final static int AI = 55;
        public final static int AM = 56;
        ...

        int mCountry;
        public AddressPhysical(){
            super(DOC_TYPE);
            mStreet = new String();
            mCity = new String();
            this.mState = -1;
            mPostcode = null;
            this.mCountry = -1;
        }
        public AddressPhysical(String doc_type){
            super(doc_type);
            mStreet = new String();
            mCity = new String();
            this.mState = -1;
            mPostcode = null;
            this.mCountry = -1;
        }
        static public AddressPhysical initAddressPhysical(String iStreet,String iCity,int
        iState,String iPostcode,int iCountry){
            AddressPhysical obj = new AddressPhysical();
            obj.initializeAll(iStreet, iCity, iState, iPostcode, iCountry);
            return obj;
        }

        public void initializeAll(String iStreet,String iCity,int iState,String iPostcode,int
        iCountry){
            mStreet = iStreet;
            mCity = iCity;
            mState = iState;
            mPostcode = iPostcode;
            mCountry = iCountry;
        }
        public String getStreet(){
            return mStreet;
        }
        public String getStreetToXML(){
            if (getStreet() == null) return null;
            char [] c = getStreet().toCharArray();

```

```

StringBuffer sb = new StringBuffer();
for (int x = 0; x < c.length; x++){
    switch(c[x]){
        case '>':
5         sb.append("&gt;");
            break;
        case '<':
            sb.append("&lt;");
10         break;
        case '&':
            sb.append("&amp;");
            break;
        case '"':
15         sb.append("&quot;");
            break;
        case '\':
            sb.append("&quot;");
            break;
        default:
20         if (Character.isDefined(c[x]))
            sb.append(c[x]);
        }
    }
    return sb.toString();
25 }
    public void setStreet(String inp){
        this.mStreet = inp;
    }
    public void streetFromXML(String n){
30         setStreet(n);
    }
    public String getCity(){
        return mCity;
    }
35 public String getCityToXML(){
    if (getCity() == null) return null;
    char [] c = getCity().toCharArray();
    StringBuffer sb = new StringBuffer();
    for (int x = 0; x < c.length; x++){
40         switch(c[x]){
            case '>':
                sb.append("&gt;");
                break;
            case '<':
45         sb.append("&lt;");
                break;
            case '&':
                sb.append("&amp;");
                break;
            case '"':
50         sb.append("&quot;");
                break;
        }
    }
}

```

```

        break;
        case '"':
            sb.append("&quot;");
            break;
        default:
            if (Character.isDefined(c[x]))
                sb.append(c[x]);
            }
    }
    return sb.toString();
}

public void setCity(String inp){
    this.mCity = inp;
}

public void cityFromXML(String n){
    setCity(n);
}

public int getState(){
    return mState;
}

public String getStateToXML(){
    switch (mState){
        case AK: return "AK";
        case AL: return "AL";
        case AR: return "AR";
        case AZ: return "AZ";
        ...
    }
    return null;
}

public void setState(int inp){
    this.mState = inp;
}

public void stateFromXML(String s){
    if (s.equals("AK")) mState = AK;
    else if (s.equals("AL")) mState = AL;
    else if (s.equals("AR")) mState = AR;
    else if (s.equals("AZ")) mState = AZ;
    ...
}

public String getPostcode(){
    return mPostcode;
}

public String getPostcodeToXML(){
    if (getPostcode() == null) return null;
    char [] c = getPostcode().toCharArray();
    StringBuffer sb = new StringBuffer();
    for (int x = 0; x < c.length; x++){
        switch(c[x]){

```

```

        case '>':
            sb.append("&gt;");
            break;
        case '<':
5         sb.append("&lt;");
            break;
        case '&':
            sb.append("&amp;");
            break;
10         case '"':
            sb.append("&quot;");
            break;
        case '^':
15         sb.append("&^");
            break;
        default:
            if (Character.isDefined(c[x]))
                sb.append(c[x]);
            }
20         }
        return sb.toString();
    }
    public void setPostcode(String inp){
        this.mPostcode = inp;
    }
25     public void postcodeFromXML(String n){
        setPostcode(n);
    }
    public int getCountry(){
        return mCountry;
    }
30     public String getCountryToXML(){
        switch (mCountry){
            case AD: return "AD";
            case AE: return "AE";
            case AF: return "AF";
            ..
        }
35     }
    return null;
40     }
    public void setCountry(int inp){
        this.mCountry = inp;
    }
45     public void countryFromXML(String s){
        if (s.equals("AD")) mCountry = AD;
        else if (s.equals("AE"))mCountry = AE;
        else if (s.equals("AF"))mCountry = AF;
        else if (s.equals("AG"))mCountry = AG;
50     else if (s.equals("AI"))mCountry = AI;

```

```

    }
}

5 package com.veo.xdk.dev.schema.test.blib;

import com.veo.vsp.doclet.meta.Document;
public class AddressSet extends Document {
    public static final String DOC_TYPE = "address.set";
10     AddressPhysical mAddressPhysical;
    String [] mTelephone;
    String [] mFax;
    String [] mEmail;
    String [] mInternet;
15     public AddressSet(){
        super(DOC_TYPE);
        this.mAddressPhysical = new AddressPhysical();

        mTelephone = null;
        mFax = null;
20         mEmail = null;
        mInternet = null;
    }
    public AddressSet(String doc_type){
        super(doc_type);
25         this.mAddressPhysical = new AddressPhysical();

        mTelephone = null;
        mFax = null;
        mEmail = null;
        mInternet = null;
30     }
    static public AddressSet initAddressSet(AddressPhysical iAddressPhysical,String []
iTelephone,String [] iFax,String [] iEmail,String [] iInternet){
        AddressSet obj = new AddressSet();
        obj.initializeAll(iAddressPhysical, iTelephone, iFax, iEmail, iInternet);
35         return obj;
    }

    public void initializeAll(AddressPhysical iAddressPhysical,String [] iTelephone,String []
iFax,String [] iEmail,String [] iInternet){
40         mAddressPhysical = iAddressPhysical;
        mTelephone = iTelephone;
        mFax = iFax;
        mEmail = iEmail;
        mInternet = iInternet;
45     }
    public AddressPhysical getAddressPhysical(){
        return mAddressPhysical;
    }
    public void setAddressPhysical(AddressPhysical inp){
50         this.mAddressPhysical = inp;
    }
}

```

```

public String [] getTelephone(){
    return mTelephone;
}
5 public String getTelephone(int index){
    if (this.mTelephone == null)
        return null;
    if (index >= this.mTelephone.length)
        return null;
10 if (index < 0 && -index > this.mTelephone.length)
    return null;
    if (index >= 0) return this.mTelephone[index];
    return this.mTelephone[this.mTelephone.length + index];
}
15 public String [] getTelephoneToXML(){
    String [] valArr = getTelephone();
    if (valArr == null) return null;
    String [] nvArr = new String[valArr.length];
    for (int z = 0; z < nvArr.length; z++){
        char [] c = valArr[z].toCharArray();
20 StringBuffer st = new StringBuffer();
        StringBuffer sb = new StringBuffer();
        for (int x = 0; x < c.length; x++){
            switch(c[x]){
25 case '>':
                sb.append("&gt;");
                break;
            case '<':
                sb.append("&lt;");
                break;
30 case '&':
                sb.append("&amp;");
                break;
            case '"':
                sb.append("&quot;");
                break;
35 case "'":
                sb.append("&apos;");
                break;
            default:
                if (Character.isDefined(c[x]))
40 sb.append(c[x]);
            }
        }
        nvArr[z] = sb.toString();
45 }
    return nvArr;
}
50 public void setTelephone(int index, String inp){
    if (this.mTelephone == null) {
        if (index < 0) {
            this.mTelephone = new String[1];
        }
    }
}

```

```

        this.mTelephone[0] = inp;
    } else {
        this.mTelephone = new String[index + 1];
        this.mTelephone[index] = inp;
5      }
    } else if (index < 0) {
        String [] newTelephone = new String[this.mTelephone.length + 1];
        java.lang.System.arraycopy((Object)mTelephone, 0,
        (Object)newTelephone, 0, this.mTelephone.length);
10      newTelephone[newTelephone.length - 1] = inp;
        mTelephone = newTelephone;
    } else if (index >= this.mTelephone.length) {
        String [] newTelephone = new String[index + 1];
        java.lang.System.arraycopy((Object)mTelephone, 0,
15      (Object)newTelephone, 0, this.mTelephone.length);
        newTelephone[index] = inp;
        mTelephone = newTelephone;
    } else {
        this.mTelephone[index] = inp;
20      }
    }
    public void setTelephone(String [] inp){
        this.mTelephone = inp;
    }
    public void telephoneFromXML(String n){
25      setTelephone(-1, n);
    }
    public String [] getFax(){
        return mFax;
30      }
    public String getFax(int index){
        if (this.mFax == null)
            return null;
        if (index >= this.mFax.length)
            return null;
        if (index < 0 && -index > this.mFax.length)
            return null;
        if (index >= 0) return this.mFax[index];
        return this.mFax[this.mFax.length + index];
40      }
    public String [] getFaxToXML(){
        String [] valArr = getFax();
        if (valArr == null) return null;
        String [] nvArr = new String[valArr.length];
        for (int z = 0; z < nvArr.length; z++){
45      char [] c = valArr[z].toCharArray();
        StringBuffer st = new StringBuffer();
        StringBuffer sb = new StringBuffer();
        for (int x = 0; x < c.length; x++){
            switch(c[x]){
50          case '>':

```

```

        sb.append("&gt;");
        break;
    case '<':
        sb.append("&lt;");
        break;
    case '&':
        sb.append("&amp;");
        break;
    case '"':
        sb.append("&quot;");
        break;
    case "'":
        sb.append("&apos;");
        break;
    default:
        if (Character.isDefined(c[x]))
            sb.append(c[x]);
        }
    }
    nvArr[z] = sb.toString();
    }
    return nvArr;
}

    public void setFax(int index, String inp){
        if (this.mFax == null) {
            if (index < 0) {
                this.mFax = new String[1];
                this.mFax[0] = inp;
            } else {
                this.mFax = new String[index + 1];
                this.mFax[index] = inp;
            }
        } else if (index < 0) {
            String [] newFax = new String[this.mFax.length + 1];
            java.lang.System.arraycopy((Object)mFax, 0, (Object)newFax, 0,
35      this.mFax.length);
            newFax[newFax.length - 1] = inp;
            mFax = newFax;
        } else if (index >= this.mFax.length){
40      String [] newFax = new String[index + 1];
            java.lang.System.arraycopy((Object)mFax, 0, (Object)newFax, 0,
            this.mFax.length);
            newFax[index] = inp;
            mFax = newFax;
45      } else {
                this.mFax[index] = inp;
            }
        }
    }

    public void setFax(String [] inp){
50      this.mFax = inp;
    }

```



```

public void faxFromXML(String n){
    setFax(-1, n);
}
public String [] getEmail(){
5     return mEmail;
}
public String getEmail(int index){
    if (this.mEmail == null)
        return null;
10     if (index >= this.mEmail.length)
        return null;
    if (index < 0 && -index > this.mEmail.length)
        return null;
    if (index >= 0) return this.mEmail[index];
15     return this.mEmail[this.mEmail.length + index];
}
public String [] getEmailToXML(){
    String [] valArr = getEmail();
    if (valArr == null) return null;
    String [] nvArr = new String[valArr.length];
    for (int z = 0; z < nvArr.length; z++){
        char [] c = valArr[z].toCharArray();
        StringBuffer st = new StringBuffer();
        StringBuffer sb = new StringBuffer();
25     for (int x = 0; x < c.length; x++){
        switch(c[x]){
        case '>':
            sb.append("&gt;");
            break;
        case '<':
30         sb.append("&lt;");
            break;
        case '&':
            sb.append("&amp;");
            break;
        case '"':
35         sb.append("&quot;");
            break;
        case "'":
            sb.append("&apos;");
            break;
        case '"':
40         sb.append("&quot;");
            break;
        default:
            if (Character.isDefined(c[x]))
                sb.append(c[x]);
45     }
        }
        nvArr[z] = sb.toString();
    }
    return nvArr;
50 }
public void setEmail(int index, String inp){

```

```

        if (this.mEmail == null) {
            if (index < 0) {
                this.mEmail = new String[1];
                this.mEmail[0] = inp;
5         } else {
                this.mEmail = new String[index + 1];
                this.mEmail[index] = inp;
            }
        } else if (index < 0) {
10         String [] newEmail = new String[this.mEmail.length + 1];
            java.lang.System.arraycopy((Object)mEmail, 0, (Object)newEmail, 0,
this.mEmail.length);
            newEmail[newEmail.length - 1] = inp;
            mEmail = newEmail;
15         } else if (index >= this.mEmail.length){
            String [] newEmail = new String[index + 1];
            java.lang.System.arraycopy((Object)mEmail, 0, (Object)newEmail, 0,
this.mEmail.length);
            newEmail[index] = inp;
            mEmail = newEmail;
20         } else {
            this.mEmail[index] = inp;
        }
    }
25     public void setEmail(String [] inp){
        this.mEmail = inp;
    }
    public void emailFromXML(String n){
        setEmail(-1, n);
30     }
    public String [] getInternet(){
        return mInternet;
    }
    public String getInternet(int index){
35         if (this.mInternet == null)
            return null;
        if (index >= this.mInternet.length)
            return null;
        if (index < 0 && -index > this.mInternet.length)
            return null;
40         if (index >= 0) return this.mInternet[index];
        return this.mInternet[this.mInternet.length + index];
    }
45     public String [] getInternetToXML(){
        String [] valArr = getInternet();
        if (valArr == null) return null;
        String [] nvArr = new String[valArr.length];
        for (int z = 0; z < nvArr.length; z++){
            char [] c = valArr[z].toCharArray();
            StringBuffer st = new StringBuffer();
50             StringBuffer sb = new StringBuffer();

```

```

    for (int x = 0; x < c.length; x++){
        switch(c[x]){
            case '>':
                sb.append("&gt;");
                break;
            case '<':
                sb.append("&lt;");
                break;
            case '&':
                sb.append("&amp;");
                break;
            case '"':
                sb.append("&quot;");
                break;
            case "'":
                sb.append("&apos;");
                break;
            default:
                if (Character.isDefined(c[x]))
                    sb.append(c[x]);
        }
    }
    nvArr[z] = sb.toString();
    }
    return nvArr;
}

public void setInternet(int index, String inp){
    if (this.mInternet == null) {
        if (index < 0) {
            this.mInternet = new String[1];
            this.mInternet[0] = inp;
        } else {
            this.mInternet = new String[index + 1];
            this.mInternet[index] = inp;
        }
    } else if (index < 0) {
        String [] newInternet = new String[this.mInternet.length + 1];
        java.lang.System.arraycopy((Object)mInternet, 0, (Object)newInternet,
0, this.mInternet.length);
        newInternet[newInternet.length - 1] = inp;
        mInternet = newInternet;
    } else if (index >= this.mInternet.length){
        String [] newInternet = new String[index + 1];
        java.lang.System.arraycopy((Object)mInternet, 0, (Object)newInternet,
45 0, this.mInternet.length);
        newInternet[index] = inp;
        mInternet = newInternet;
    } else {
        this.mInternet[index] = inp;
    }
}
}

```

```

        public void setInternet(String [] inp){
            this.mInternet = inp;
        }
        public void internetFromXML(String n){
5           setInternet(-1, n);
        }
    }

package com.veo.xdk.dev.schema.test.blib;

10 import com.veo.vsp.doclet.meta.Document;
public class Business extends Party {
    public static final String DOC_TYPE = "business";
    String aBusinessNumber;
15 public Business(){
        super(DOC_TYPE);
        aBusinessNumber = new String();
    }
    public Business(String doc_type){
20         super(doc_type);
        aBusinessNumber = new String();
    }
    static public Business initBusiness(String iBusinessNumber,String []
iPartyName,AddressSet iAddressSet){
25         Business obj = new Business();
        obj.initializeAll(iBusinessNumber, iPartyName, iAddressSet);
        return obj;
    }

30 public void initializeAll(String iBusinessNumber,String [] iPartyName,AddressSet
iAddressSet){
        aBusinessNumber = iBusinessNumber;
        super.initializeAll(iPartyName, iAddressSet);
    }
35 public String getBusinessNumber(){
        return aBusinessNumber;
    }
    public String getBusinessNumberToXML(){
40         if (getBusinessNumber() == null) return null;
        char [] c = getBusinessNumber().toCharArray();
        StringBuffer sb = new StringBuffer();
        for (int x = 0; x < c.length; x++){
            switch(c[x]){
45                 case '>':
                    sb.append("&gt;");
                    break;
                case '<':
                    sb.append("&lt;");
                    break;
50                 case '&':
                    sb.append("&amp;");
            }
        }
    }
}

```

```

        break;
        case '"':
            sb.append("&quot;");
            break;
5         case '\':
            sb.append("&quot;");
            break;
        default:
            if (Character.isDefined(c[x]))
10             sb.append(c[x]);
            }
        }
        return sb.toString();
    }

15     public void setBusinessNumber(String inp){
        this.aBusinessNumber = inp;
    }

    public void businessNumberFromXML(String n){
20         setBusinessNumber(n);
    }
}

import com.vco.vsp.doclet.meta.Document;
25 public class Party extends Document {
    public static final String DOC_TYPE = "party";
    String [] mPartyName;
    AddressSet mAddressSet;
    public Party(){
30         super(DOC_TYPE);
        mPartyName = new String[0];
        this.mAddressSet = new AddressSet();
    }

    public Party(String doc_type){
35         super(doc_type);
        mPartyName = new String[0];
        this.mAddressSet = new AddressSet();
    }

    static public Party initParty(String [] iPartyName,AddressSet iAddressSet){
40         Party obj = new Party();
        obj.initializeAll(iPartyName, iAddressSet);
        return obj;
    }

45     public void initializeAll(String [] iPartyName,AddressSet iAddressSet){
        mPartyName = iPartyName;
        mAddressSet = iAddressSet;
    }

    public String [] getPartyName(){
50         return mPartyName;
    }
}

```

```

public String getPartyName(int index){
    if (this.mPartyName == null)
        return null;
    if (index >= this.mPartyName.length)
5         return null;
    if (index < 0 && -index > this.mPartyName.length)
        return null;
    if (index >= 0) return this.mPartyName[index];
    return this.mPartyName[this.mPartyName.length + index];
10 }

public String [] getPartyNameToXML() {
    String [] valArr = getPartyName();
    if (valArr == null) return null;
    String [] nvArr = new String[valArr.length];
15     for (int z = 0; z < nvArr.length; z++){
        char [] c = valArr[z].toCharArray();
        StringBuffer st = new StringBuffer();
        StringBuffer sb = new StringBuffer();
        for (int x = 0; x < c.length; x++){
20             switch(c[x]){
                case '>':
                    sb.append("&gt;");
                    break;
                case '<':
25                     sb.append("&lt;");
                    break;
                case '&':
                    sb.append("&amp;");
                    break;
                case '"':
30                     sb.append("&quot;");
                    break;
                case "'":
                    sb.append("&apos;");
                    break;
                default:
                    if (Character.isDefined(c[x]))
                        sb.append(c[x]);
40             }
        }
        nvArr[z] = sb.toString();
    }
    return nvArr;

45     public void setPartyName(int index, String mp){
        if (this.mPartyName == null) {
            if (index < 0) {
                this.mPartyName = new String[1];
                this.mPartyName[0] = mp;
50             } else {
                this.mPartyName = new String[index + 1];
            }
        }
    }
}

```

```

        this.mPartyName[index] = inp;
    }
    } else if (index < 0) {
5      String [] newPartyName = new String[this.mPartyName.length + 1];
      java.lang.System.arraycopy((Object)mPartyName, 0,
      (Object)newPartyName, 0, this.mPartyName.length);
      newPartyName[newPartyName.length - 1] = inp;
      mPartyName = newPartyName;
    } else if (index >= this.mPartyName.length){
10      String [] newPartyName = new String[index + 1];
      java.lang.System.arraycopy((Object)mPartyName, 0,
      (Object)newPartyName, 0, this.mPartyName.length);
      newPartyName[index] = inp;
      mPartyName = newPartyName;
15      } else {
        this.mPartyName[index] = inp;
      }
    }
    public void setPartyName(String [] inp){
20      this.mPartyName = inp;
    }
    public void partyNameFromXML(String n){
      setPartyName(-1, n);
    }
25    public AddressSet getAddressSet(){
      return mAddressSet;
    }
    public void setAddressSet(AddressSet inp){
      this.mAddressSet = inp;
30    }
  }

package com.veo.xdk.dev.schema.test.blib;

35 import com.veo.vsp.doclet.meta.Document;
public class Person extends Party {
    public static final String DOC_TYPE = "person";
    String aSSN;
    public Person(){
40      super(DOC_TYPE);
      aSSN = null;
    }
    public Person(String doc_type){
      super(doc_type);
45      aSSN = null;
    }
    static public Person initPerson(String iSSN,String [] iPartyName,AddressSet
iAddressSet){
50      Person obj = new Person();
      obj.initializeAll(iISSN, iPartyName, iAddressSet);
      return obj;

```

```

    }

    public void initializeAll(String iSSN,String [] iPartyName,AddressSet iAddressSet){
        aSSN = iSSN;
        super.initializeAll(iPartyName, iAddressSet);
    }

    public String getSSN(){
        return aSSN;
    }

    public String getSSNtoXML(){
        if (getSSN() == null) return null;
        char [] c = getSSN().toCharArray();
        StringBuffer sb = new StringBuffer();
        for (int x = 0, x < c.length; x++){
            switch(c[x]){
                case '>':
                    sb.append("&gt;");
                    break;
                case '<':
                    sb.append("&lt;");
                    break;
                case '&':
                    sb.append("&amp;");
                    break;
                case '"':
                    sb.append("&quot;");
                    break;
                case '\':
                    sb.append("&apos;");
                    break;
                default:
                    if (Character.isDefined(c[x]))
                        sb.append(c[x]);
            }
        }
        return sb.toString();
    }

    public void setSSN(String inp){
        this.aSSN = inp;
    }

    public void sSNFromXML(String n){
        setSSN(n);
    }
}

package com.veo.xdk.dev.schema.test.blit;

import com.veo.vsp.doclet.meta.Document;
public class PrototypeService extends Document {
    public static final String DOC_TYPE = "prototype.service";
    String mServiceName;

```





```

        sb.append("&quot;");
        break;
        case '\':
            sb.append("&quot;");
            break;
        default:
            if (Character.isDefined(c[x]))
                sb.append(c[x]);
    }
    return sb.toString();
}

public void setServiceName(String inp){
    this.mServiceName = inp;
}

public void serviceNameFromXML(String n){
    setServiceName(n);
}

public String [] getServiceTerms(){
    return mServiceTerms;
}

public String getServiceTerms(int index){
    if (this.mServiceTerms == null)
        return null;
    if (index >= this.mServiceTerms.length)
        return null;
    if (index < 0 && -index > this.mServiceTerms.length)
        return null;
    if (index >= 0) return this.mServiceTerms[index];
    return this.mServiceTerms[this.mServiceTerms.length + index];
}

public String [] getServiceTermsToXML(){
    String [] valArr = getServiceTerms();
    if (valArr == null) return null;
    String [] nvArr = new String[valArr.length];
    for (int z = 0; z < nvArr.length; z++){
        char [] c = valArr[z].toCharArray();
        StringBuffer st = new StringBuffer();
        StringBuffer sb = new StringBuffer();
        for (int x = 0; x < c.length; x++){
            switch(c[x]){
                case '>':
                    sb.append("&gt;");
                    break;
                case '<':
                    sb.append("&lt;");
                    break;
                case '&':
                    sb.append("&amp;");
                    break;
                case '"':

```

```

        sb.append("&quot;");
        break;
    case '"':
        sb.append("&quot;");
        break;
    default:
        if (Character.isDefined(c[x]))
            sb.append(c[x]);
    }
    nvArr[z] = sb.toString();
}
return nvArr;
}

public void setServiceTerms(int index, String inp){
    if (this.mServiceTerms == null) {
        if (index < 0) {
            this.mServiceTerms = new String[1];
            this.mServiceTerms[0] = inp;
        } else {
            this.mServiceTerms = new String[index + 1];
            this.mServiceTerms[index] = inp;
        }
    } else if (index < 0) {
        String [] newServiceTerms = new String[this.mServiceTerms.length +
1];
        java.lang.System.arraycopy((Object)mServiceTerms, 0,
(Object)newServiceTerms, 0, this.mServiceTerms.length);
        newServiceTerms[newServiceTerms.length - 1] = inp;
        mServiceTerms = newServiceTerms;
    } else if (index >= this.mServiceTerms.length){
        String [] newServiceTerms = new String[index + 1];
        java.lang.System.arraycopy((Object)mServiceTerms, 0,
(Object)newServiceTerms, 0, this.mServiceTerms.length);
        newServiceTerms[index] = inp;
        mServiceTerms = newServiceTerms;
    } else {
        this.mServiceTerms[index] = inp;
    }
}

public void setServiceTerms(String [] inp){
    this.mServiceTerms = inp;
}

public void serviceTermsFromXML(String n){
    setServiceTerms(-1, n);
}

public String [] getServiceLocation(){
    return mServiceLocation;
}

public String getServiceLocation(int index){
    if (this.mServiceLocation == null)

```

```

        return null;
    if (index >= this.mServiceLocation.length)
        return null;
    if (index < 0 && -index > this.mServiceLocation.length)
        return null;
    if (index >= 0) return this.mServiceLocation[index];
    return this.mServiceLocation[this.mServiceLocation.length + index];
}

    public String [] getServiceLocationToXML(){
        String [] valArr = getServiceLocation();
        if (valArr == null) return null;
        String [] nvArr = new String[valArr.length];
        for (int z = 0; z < nvArr.length; z++){
            char [] c = valArr[z].toCharArray();
            StringBuffer st = new StringBuffer();
            StringBuffer sb = new StringBuffer();
            for (int x = 0; x < c.length; x++){
                switch(c[x]){
                    case '>':
                        sb.append("&gt;");
                        break;
                    case '<':
                        sb.append("&lt;");
                        break;
                    case '&':
                        sb.append("&amp;");
                        break;
                    case '"':
                        sb.append("&quot;");
                        break;
                    case "'":
                        sb.append("&apos;");
                        break;
                    default:
                        if (Character.isDefined(c[x]))
                            sb.append(c[x]);
                }
            }
            nvArr[z] = sb.toString();
        }
        return nvArr;
    }

    public void setServiceLocation(int index, String inp){
        if (this.mServiceLocation == null) {
            if (index < 0) {
                this.mServiceLocation = new String[1];
                this.mServiceLocation[0] = inp;
            } else {
                this.mServiceLocation = new String[index + 1];
                this.mServiceLocation[index] = inp;
            }
        }
    }

```

```

        } else if (index < 0) {
            String [] newServiceLocation = new
String[this.mServiceLocation.length + 1];
5      (Object)newServiceLocation, 0, this.mServiceLocation.length);
            newServiceLocation[newServiceLocation.length - 1] = inp;
            mServiceLocation = newServiceLocation;
        } else if (index >= this.mServiceLocation.length){
10      String [] newServiceLocation = new String[index + 1];
            java.lang.System.arraycopy((Object)mServiceLocation, 0,
            (Object)newServiceLocation, 0, this.mServiceLocation.length);
            newServiceLocation[index] = inp;
            mServiceLocation = newServiceLocation;
        } else {
15      this.mServiceLocation[index] = inp;
        }
    }
    public void setServiceLocation(String[] inp){
        this.mServiceLocation = inp;
20  }
    public void serviceLocationFromXML(String n){
        setServiceLocation(-1, n);
    }
    public ServiceOperation [] getServiceOperation(){
25      return mServiceOperation;
    }
    public ServiceOperation getServiceOperation(int index){
        if (this.mServiceOperation == null)
            return null;
30      if (index >= this.mServiceOperation.length)
            return null;
        if (index < 0 && -index > this.mServiceOperation.length)
            return null;
        if (index >= 0) return this.mServiceOperation[index];
35      return this.mServiceOperation[this.mServiceOperation.length + index];
    }
    public void setServiceOperation(int index, ServiceOperation inp){
        if (this.mServiceOperation == null) {
            if (index < 0) {
40      this.mServiceOperation = new ServiceOperation[1];
            this.mServiceOperation[0] = inp;
        } else {
            this.mServiceOperation = new ServiceOperation[index + 1];
            this.mServiceOperation[index] = inp;
45      }
        }
    }
    } else if (index < 0) {
        ServiceOperation [] newServiceOperation = new
ServiceOperation[this.mServiceOperation.length + 1];
        java.lang.System.arraycopy((Object)mServiceOperation, 0,
50      (Object)newServiceOperation, 0, this.mServiceOperation.length);
        newServiceOperation[newServiceOperation.length - 1] = inp;
    }
}

```

```

        mServiceOperation = newServiceOperation;
    } else if (index >= this.mServiceOperation.length){
        ServiceOperation [] newServiceOperation = new
5      ServiceOperation[index + 1];
        java.lang.System.arraycopy((Object)mServiceOperation, 0,
        (Object)newServiceOperation, 0, this.mServiceOperation.length);
        newServiceOperation[index] = inp;
        mServiceOperation = newServiceOperation;
    } else {
10      this.mServiceOperation[index] = inp;
    }
  }
  public void setServiceOperation(ServiceOperation [] inp){
15    this.mServiceOperation = inp;
  }
}

package com.veo.xdk.dev.schema.test.blib;

20  import com.veo.vsp.doclet.meta.Document;
  public class Service extends Document {
    public static final String DOC_TYPE = "service";
    String mServiceName;
    String mServiceLocation;
25    ServiceOperation [] mServiceOperation;
    String mServiceTerms;
    public Service(){
        super(DOC_TYPE);
        mServiceName = new String();
        mServiceLocation = new String();
30        this.mServiceOperation = new ServiceOperation[0];
        mServiceTerms = new String();
    }
    public Service(String doc_type){
35        super(doc_type);
        mServiceName = new String();
        mServiceLocation = new String();
        this.mServiceOperation = new ServiceOperation[0];
        mServiceTerms = new String();
40    }
    static public Service initService(String iServiceName,String
iServiceLocation,ServiceOperation [] iServiceOperation,String iServiceTerms){
        Service obj = new Service();
        obj.initializeAll(iServiceName, iServiceLocation, iServiceOperation,
45    iServiceTerms);
        return obj;
    }

    public void initializeAll(String iServiceName,String iServiceLocation,ServiceOperation
50    [] iServiceOperation,String iServiceTerms){
        mServiceName = iServiceName;

```

```

        mServiceLocation = iServiceLocation;
        mServiceOperation = iServiceOperation;
        mServiceTerms = iServiceTerms;
    }
5    public String getServiceName(){
        return mServiceName;
    }
    public String getServiceNameToXML(){
        if (getServiceName() == null) return null;
10        char [] c = getServiceName().toCharArray();
        StringBuffer sb = new StringBuffer();
        for (int x = 0; x < c.length; x++){
            switch(c[x]){
                case '>':
15                sb.append("&gt;");
                    break;
                case '<':
                    sb.append("&lt;");
                    break;
20                case '&':
                    sb.append("&amp;");
                    break;
                case '"':
                    sb.append("&quot;");
                    break;
25                case '\':
                    sb.append("&apos;");
                    break;
                default:
                    if (Character.isDefined(c[x]))
30                    sb.append(c[x]);
            }
        }
        return sb.toString();
35    }
    public void setServiceName(String inp){
        this.mServiceName = inp;
    }
    public void serviceNameFromXML(String n){
40        setServiceName(n);
    }
    public String getServiceLocation(){
        return mServiceLocation;
    }
45    public String getServiceLocationToXML(){
        if (getServiceLocation() == null) return null;
        char [] c = getServiceLocation().toCharArray();
        StringBuffer sb = new StringBuffer();
        for (int x = 0; x < c.length; x++){
            switch(c[x]){
50                case '>':

```

```

        sb.append("&gt;");
        break;
        case '<':
            sb.append("&lt;");
            break;
        case '&':
            sb.append("&amp;");
            break;
        case '"':
            sb.append("&quot;");
            break;
        case '\':
            sb.append("&apos;");
            break;
        default:
            if (Character.isDefined(c[x]))
                sb.append(c[x]);
            }
        }
        return sb.toString();
    }

    public void setServiceLocation(String inp){
        this.mServiceLocation = inp;
    }

    public void serviceLocationFromXML(String n){
        setServiceLocation(n);
    }

    public ServiceOperation [] getServiceOperation(){
        return mServiceOperation;
    }

    public ServiceOperation getServiceOperation(int index){
        if (this.mServiceOperation == null)
            return null;
        if (index >= this.mServiceOperation.length)
            return null;
        if (index < 0 && -index > this.mServiceOperation.length)
            return null;
        if (index >= 0) return this.mServiceOperation[index];
        return this.mServiceOperation[this.mServiceOperation.length + index];
    }

    public void setServiceOperation(int index, ServiceOperation inp){
        if (this.mServiceOperation == null) {
            if (index < 0) {
                this.mServiceOperation = new ServiceOperation[1];
                this.mServiceOperation[0] = inp;
            } else {
                this.mServiceOperation = new ServiceOperation[index + 1];
                this.mServiceOperation[index] = inp;
            }
        }
        } else if (index < 0) {
            ServiceOperation [] newServiceOperation = new

```



```

ServiceOperatun[this.mServiceOperation.length + 1];
        java.lang.System.arraycopy((Object)mServiceOperation, 0,
(Object)newServiceOperation, 0, this.mServiceOperation.length);
        newServiceOperation[newServiceOperation.length - 1] = inp;
5         mServiceOperation = newServiceOperation;
        } else if (index >= this.mServiceOperation.length){
            ServiceOperation [] newServiceOperation = new
ServiceOperation[index + 1];
10         java.lang.System.arraycopy((Object)mServiceOperation, 0,
(Object)newServiceOperation, 0, this.mServiceOperation.length);
        newServiceOperation[index] = inp;
        mServiceOperation = newServiceOperation;
        } else {
15         this.mServiceOperation[index] = inp;
        }
    }
    public void setServiceOperation(ServiceOperation [] inp){
        this.mServiceOperation = inp;
    }
    public String getServiceTerms(){
20         return mServiceTerms;
    }
    public String getServiceTermsToXML(){
        if (getServiceTerms() == null) return null;
        char [] c = getServiceTerms().toArray();
        StringBuffer sb = new StringBuffer();
        for (int x = 0; x < c.length; x++){
25             switch(c[x]){
                case '>':
30                 sb.append("&gt;");
                break;
                case '<':
                    sb.append("&lt;");
                    break;
35                 case '&':
                    sb.append("&amp;");
                    break;
                case '"':
40                 sb.append("&quot;");
                break;
                case '\\':
                    sb.append("&quot;");
                    break;
                default:
45                 if (Character.isDefined(c[x]))
                    sb.append(c[x]);
            }
        }
        return sb.toString();
50     }
    public void setServiceTerms(String inp){

```

```

        this.mServiceTerms = inp;
    }
    public void serviceTermsFromXML(String n){
        setServiceTerms(n);
    }
}

package com.veo.xdk.dev.schema.test.blib;

import com.veo.vsp.doclet.meta.Document;
public class ServiceOperation extends Document {
    public static final String DOC_TYPE = "service.operation";
    String mServiceOperationName;
    String mServiceOperationLocation;
    String mServiceOperationInput;
    String mServiceOperationOutput;
    public ServiceOperation(){
        super(DOC_TYPE);
        mServiceOperationName = new String();
        mServiceOperationLocation = new String();
        mServiceOperationInput = new String();
        mServiceOperationOutput = new String();
    }
    public ServiceOperation(String doc_type){
        super(doc_type);
        mServiceOperationName = new String();
        mServiceOperationLocation = new String();
        mServiceOperationInput = new String();
        mServiceOperationOutput = new String();
    }
    static public ServiceOperation initServiceOperation(String
iServiceOperationName,String iServiceOperationLocation,String iServiceOperationInput,String
iServiceOperationOutput){
        ServiceOperation obj = new ServiceOperation();
        obj.initializeAll(iServiceOperationName, iServiceOperationLocation,
iServiceOperationInput, iServiceOperationOutput);
        return obj;
    }

    public void initializeAll(String iServiceOperationName,String
iServiceOperationLocation,String iServiceOperationInput,String iServiceOperationOutput){
        mServiceOperationName = iServiceOperationName;
        mServiceOperationLocation = iServiceOperationLocation;
        mServiceOperationInput = iServiceOperationInput;
        mServiceOperationOutput = iServiceOperationOutput;
    }
    public String getServiceOperationName(){
        return mServiceOperationName;
    }
    public String getServiceOperationNameToXML(){
        if (getServiceOperationName() == null) return null;

```

```

char [] c = getServiceOperationName().toCharArray();
StringBuffer sb = new StringBuffer();
for (int x = 0; x < c.length; x++){
5      switch(c[x]){
        case '>':
            sb.append("&gt;");
            break;
        case '<':
10         sb.append("&lt;");
            break;
        case '&':
            sb.append("&amp;");
            break;
        case '"':
15         sb.append("&quot;");
            break;
        case '\\':
            sb.append("&backslash;");
            break;
        default:
20         if (Character.isDefined(c[x]))
            sb.append(c[x]);
        }
    }
25     return sb.toString();
}

public void setServiceOperationName(String inp){
    this.mServiceOperationName = inp;
}

30     public void serviceOperationNameFromXML(String n){
        setServiceOperationName(n);
    }

    public String getServiceOperationLocation(){
        return mServiceOperationLocation;
35    }

    public String getServiceOperationLocationToXML(){
        if (getServiceOperationLocation() == null) return null;
        char [] c = getServiceOperationLocation().toCharArray();
        StringBuffer sb = new StringBuffer();
40         for (int x = 0; x < c.length; x++){
            switch(c[x]){
                case '>':
                    sb.append("&gt;");
                    break;
45                 case '<':
                    sb.append("&lt;");
                    break;
                case '&':
                    sb.append("&amp;");
                    break;
50                 case '"':

```

```

        sb.append("&quot;");
        break;
    case '\':
        sb.append("&quot;");
        break;
    default:
        if (Character.isDefined(c[x]))
            sb.append(c[x]);
    }
}
return sb.toString();
}

public void setServiceOperationLocation(String inp){
    this.mServiceOperationLocation = inp;
}

public void serviceOperationLocationFromXML(String n){
    setServiceOperationLocation(n);
}

public String getServiceOperationInput(){
    return mServiceOperationInput;
}

public String getServiceOperationInputToXML(){
    if (getServiceOperationInput() == null) return null;
    char [] c = getServiceOperationInput().toCharArray();
    StringBuffer sb = new StringBuffer();
    for (int x = 0; x < c.length; x++){
        switch(c[x]){
            case '>':
                sb.append("&gt;");
                break;
            case '<':
                sb.append("&lt;");
                break;
            case '&':
                sb.append("&amp;");
                break;
            case '"':
                sb.append("&quot;");
                break;
            case '\':
                sb.append("&quot;");
                break;
            default:
                if (Character.isDefined(c[x]))
                    sb.append(c[x]);
        }
    }
    return sb.toString();
}

public void setServiceOperationInput(String inp){
    this.mServiceOperationInput = inp;
}

```

```

    }
    public void serviceOperationInputFromXML(String n){
        setServiceOperationInput(n);
    }
5    public String getServiceOperationOutput(){
        return mServiceOperationOutput;
    }
    public String getServiceOperationOutputToXML(){
10        if (getServiceOperationOutput() == null) return null;
        char [] c = getServiceOperationOutput().toCharArray();
        StringBuffer sb = new StringBuffer();
        for (int x = 0; x < c.length; x++){
            switch(c[x]){
15                case '>':
                    sb.append("&gt;");
                    break;
                case '<':
                    sb.append("&lt;");
                    break;
20                case '&':
                    sb.append("&amp;");
                    break;
                case '"':
                    sb.append("&quot;");
                    break;
25                case '\\':
                    sb.append("&backslash;");
                    break;
                default:
30                    if (Character.isDefined(c[x]))
                        sb.append(c[x]);
            }
        }
        return sb.toString();
35    }
    public void setServiceOperationOutput(String inp){
        this.mServiceOperationOutput = inp;
    }
    public void serviceOperationOutputFromXML(String n){
40        setServiceOperationOutput(n);
    }
}

package com.veo.xdk.dev.schema.test.blib;

45 import com.veo.vsp.doclet.meta.Document;
import com.veo.vsp.doclet.meta.Document;
public class ServiceSet extends Document {
    public static final String DOC_TYPE = "service.set";
    Service [] mService;
50    public ServiceSet(){
        super(DOC_TYPE);
    }
}

```

```

        this.mService = new Service[0];
    }
    public ServiceSet(String doc_type){
        super(doc_type);
        this.mService = new Service[0];
    }
    static public ServiceSet initServiceSet(Service [] iService){
        ServiceSet obj = new ServiceSet();
        obj.initializeAll(iService);
        return obj;
    }

    public void initializeAll(Service [] iService){
        mService = iService;
    }

    public Service [] getService(){
        return mService;
    }

    public Service getService(int index){
        if (this.mService == null)
            return null;
        if (index >= this.mService.length)
            return null;
        if (index < 0 && -index > this.mService.length)
            return null;
        if (index >= 0) return this.mService[index];
        return this.mService[this.mService.length + index];
    }

    public void setService(int index, Service inp){
        if (this.mService == null) {
            if (index < 0) {
                this.mService = new Service[1];
                this.mService[0] = inp;
            } else {
                this.mService = new Service[index + 1];
                this.mService[index] = inp;
            }
        } else if (index < 0) {
            Service [] newService = new Service[this.mService.length + 1];
            java.lang.System.arraycopy((Object)mService, 0, (Object)newService,
0, this.mService.length);
            newService[newService.length - 1] = inp;
            mService = newService;
        } else if (index >= this.mService.length){
            Service [] newService = new Service[index + 1];
            java.lang.System.arraycopy((Object)mService, 0, (Object)newService,
0, this.mService.length);
            newService[index] = inp;
            mService = newService;
        } else {
            this.mService[index] = inp;

```

```

    }
    public void setService(Service [] inp){
        this.mService = inp;
    }
}

```

In addition to the JAVA beans set forth above, transformation code is produced for translating from JAVA to XML and XML to JAVA as set forth below:

#### Java to XML

```

<!DOCTYPE tree SYSTEM "tree.dtd">
<tree source = "null" pass-through = "false">
<before>
  <vardef name = "attribute.def">
    <element source = "ATTRIBUTE" class = "NAME" type = "5" position = "-2">
      <parse>
        <data class = "java.lang.String" position = "-2"/>
      </parse>
    </element>
  </vardef>
  <vardef name = "pcdata.def">
    <element source = "PCDATA" class = "NAME" type = "4" position = "-2">
      <parse>
        <data class = "999" type = "6" position = "-2"/>
      </parse>
    </element>
  </vardef>
  <vardef name = "content.def">
    <element source = "PCDATA">
      <parse>
        <data class = "999" type = "6" position = "-2"/>
      </parse>
    </element>
  </vardef>
  <vardef name = "ServiceSet.var">
    <element source = "com.veo.xdk.dev.schema.test.blib.ServiceSet" class = "service.set" type = "4"
    position = "-2">
      <parse>
        <callvar name = "Service.var"/>
      </parse>
    </element>
  </vardef>
  <vardef name = "PrototypeService.var">
    <element source = "com.veo.xdk.dev.schema.test.blib.PrototypeService" class =
    "prototype.service" type = "4" position = "-2">
      <parse>
        <callvar name = "pcdata.def" parms = "setSource ServiceNameToXML setGenerator

```

```

service.name"/>
  <callvar name = "pdata.def" parms = "setSource ServiceTermsToXML setGenerator
service.terms"/>
  <callvar name = "pdata.def" parms = "setSource ServiceLocationToXML setGenerator
5 service.location"/>
  <callvar name = "ServiceOperation.var"/>
  </parse>
  </element>
  </vardef>
10 <vardef name = "Service.var">
  <element source = "com.vco.xdk.dev.schema.test.blib.Service" class = "service" type = "8"
position = "0">
  <parse>
  <callvar name = "pdata.def" parms = "setSource ServiceNameToXML setGenerator
15 service.name"/>
  <callvar name = "pdata.def" parms = "setSource ServiceLocationToXML setGenerator
service.location"/>
  <callvar name = "ServiceOperation.var"/>
  <callvar name = "pdata.def" parms = "setSource ServiceTermsToXML setGenerator
20 service.terms"/>
  </parse>
  </element>
  </vardef>
  <vardef name = "ServiceOperation.var">
25 <element source = "com.vco.xdk.dev.schema.test.blib.ServiceOperation" class =
"service.operation" type = "4" position = "-2">
  <parse>
  <callvar name = "pdata.def" parms = "setSource ServiceOperationNameToXML setGenerator
service.operation.name"/>
  <callvar name = "pdata.def" parms = "setSource ServiceOperationLocationToXML
30 setGenerator service.operation.location"/>
  <callvar name = "pdata.def" parms = "setSource ServiceOperationInputToXML setGenerator
service.operation.input"/>
  <callvar name = "pdata.def" parms = "setSource ServiceOperationOutputToXML
35 setGenerator service.operation.output"/>
  </parse>
  </element>
  </vardef>
  </before>
  <parse>
40 <callvar name = "ServiceSet.var"/>
  <callvar name = "PrototypeService.var"/>
  <callvar name = "Service.var"/>
  <callvar name = "ServiceOperation.var"/>
45 </parse>
</tree>

```

XML to Java

```

50 <!DOCTYPE tree SYSTEM "tree.dtd">
  <tree source = "null" pass-through = "false">

```



```

5      <before>
      <vardef name = "business.var">
      <element source = "business"
        class = "com.veo.xdk.dev.schema.test.blib.Business"
        type = "7" setter = "setBusiness">
      <before>
      <onattribute name = "business.number">
      <actions>
      <callmeth name = "businessNumberFromXML">
10      <parms>
      <getattr name = "business.number"/>
      </parms>
      </callmeth>
      </actions>
      </onattribute>
      </before>
      <parse>
      <callvar name = "party.name.var" parms = "setPosition -1"/>
      <callvar name = "address.set.var"/>
      </parse>
20      </element>
      </vardef>
      <vardef name = "party.name.var">
      <element source = "party.name" setter = "partyNameFromXML" position = "-1" class =
25      "java.lang.String">
      <parse>
      <data class = "java.lang.String" position = "0"/>
      </parse>
      </element>
      </vardef>
30      <vardef name = "city.var">
      <element source = "city" setter = "cityFromXML" position = "-1" class = "java.lang.String">
      <parse>
      <data class = "java.lang.String" position = "0"/>
35      </parse>
      </element>
      </vardef>
      <vardef name = "internet.var">
      <element source = "internet" setter = "internetFromXML" position = "-1" class =
40      "java.lang.String">
      <parse>
      <data class = "java.lang.String" position = "0"/>
      </parse>
      </element>
45      </vardef>
      <vardef name = "country.var">
      <element source = "country" setter = "countryFromXML" position = "-1" class =
      "java.lang.String">
      <parse>
50      <data class = "java.lang.String" position = "0"/>
      </parse>

```

```

    </element>
  </vardef>
  <vardef name = "state.var">
    <element source = "state" setter = "stateFromXML" position = "-1" class = "java.lang.String">
      <parse>
        <data class = "java.lang.String" position = "0"/>
      </parse>
    </element>
  </vardef>
  <vardef name = "email.var">
    <element source = "email" setter = "emailFromXML" position = "-1" class = "java.lang.String">
      <parse>
        <data class = "java.lang.String" position = "0"/>
      </parse>
    </element>
  </vardef>
  <vardef name = "address.physical.var">
    <element source = "address.physical"
      class = "com.veo.xdk.dev.schema.test.blib.AddressPhysical"
      type = "7" setter = "setAddressPhysical">
      <before>
      </before>
      <parse>
        <callvar name = "street.var" parms = "setPosition -1"/>
        <callvar name = "city.var" parms = "setPosition -1"/>
        <callvar name = "state.var" parms = "setPosition -1"/>
        <callvar name = "postcode.var" parms = "setPosition -1"/>
        <callvar name = "country.var" parms = "setPosition -1"/>
      </parse>
    </element>
  </vardef>
  <vardef name = "telephone.var">
    <element source = "telephone" setter = "telephoneFromXML" position = "-1" class =
      "java.lang.String">
      <parse>
        <data class = "java.lang.String" position = "0"/>
      </parse>
    </element>
  </vardef>
  <vardef name = "person.var">
    <element source = "person"
      class = "com.veo.xdk.dev.schema.test.blib.Person"
      type = "7" setter = "setPerson">
      <before>
        <onattribute name = "SSN">
          <actions>
            <callmeth name = "sSNFromXML">
              <parms>
                <getattr name = "SSN"/>
              </parms>
            </callmeth>
          </actions>
        </onattribute>
      </before>
    </element>
  </vardef>

```

```

    </actions>
    </onattribute>
    </before>
    <parse>
5      <callvar name = "party.name.var" parms = "setPosition -1"/>
      <callvar name = "address.set.var"/>
    </parse>
    </element>
    </vardef>
10    <vardef name = "fax.var">
      <element source = "fax" setter = "faxFromXML" position = "-1" class = "java.lang.String">
        <parse>
          <data class = "java.lang.String" position = "0"/>
        </parse>
      </element>
15    </vardef>
    <vardef name = "street.var">
      <element source = "street" setter = "streetFromXML" position = "-1" class = "java.lang.String">
        <parse>
          <data class = "java.lang.String" position = "0"/>
        </parse>
      </element>
20    </vardef>
    <vardef name = "address.set.var">
      <element source = "address.set"
        class = "com.veo.xdk.dev.schema.test.blib.AddressSet"
        type = "7" setter = "setAddressSet">
        <before>
        </before>
30      <parse>
        <callvar name = "address.physical.var"/>
        <callvar name = "telephone.var" parms = "setPosition -1"/>
        <callvar name = "fax.var" parms = "setPosition -1"/>
        <callvar name = "email.var" parms = "setPosition -1"/>
        <callvar name = "internet.var" parms = "setPosition -1"/>
35      </parse>
      </element>
    </vardef>
    <vardef name = "postcode.var">
40      <element source = "postcode" setter = "postcodeFromXML" position = "-1" class =
"java.lang.String">
        <parse>
          <data class = "java.lang.String" position = "0"/>
        </parse>
      </element>
45    </vardef>
    <vardef name = "market.participant.var">
      <element source = "market.participant"
        class = "com.veo.xdk.dev.schema.test.blib.MarketParticipant"
50      type = "7" position = "0">
        <before>

```

```

    </before>
    <parse>
      <callvar name = "business.var"/>
      <callvar name = "person.var"/>
    </parse>
  </element>
</vardef>
</before>
<parse>
  <callvar name = "business.var"/>
  <callvar name = "party.name.var"/>
  <callvar name = "city.var"/>
  <callvar name = "internet.var"/>
  <callvar name = "country.var"/>
  <callvar name = "state.var"/>
  <callvar name = "email.var"/>
  <callvar name = "address.physical.var"/>
  <callvar name = "telephone.var"/>
  <callvar name = "person.var"/>
  <callvar name = "fax.var"/>
  <callvar name = "street.var"/>
  <callvar name = "address.set.var"/>
  <callvar name = "postcode.var"/>
  <callvar name = "market.participant.var"/>
</parse>
</tree>

```

### **Makefiles:**

```

#
# this makefile was generated by bic version 0.0. 05/02/1998
#
#
#
#

# get the package name from the package argument passed to SchemaGen
PACKAGE_NAME = com/veo/xdk/dev/schema/test/blib

JAVA_SOURCES += \
    MarketParticipant.java \
    Business.java \
    Person.java \
    Party.java \
    AddressPhysical.java \
    AddressSet.java \

MAKEFILE_MASTER_DIR = xxx
include $(MAKEFILE_MASTER_DIR)/Makefile.master

```

```

all:: $(JAVA_CLASSES)

#
# this makefile was generated by bic version 0.0. 05/02/1998
#
#
#
#
# get the package name from the package argument passed to SchemaGen
PACKAGE_NAME = com/veo/xdk/dev/schema/test/blib

JAVA_SOURCES += \
    ServiceSet.java \
    PrototypeService.java \
    Service.java \
    ServiceOperation.java \

MAKEFILE_MASTER_DIR = xxx
include $(MAKEFILE_MASTER_DIR)/Makefile.master

all:: $(JAVA_CLASSES)

```

Finally, the XML document instances generated at run time according to the model above for one example follows:

```

<!DOCTYPE market.participant SYSTEM "market.participant.dtd" >
<market.participant>

<business business.number="1234567890" >

<party.name>IBM</party.name>

<address.set>
<address.physical>

<street>1 IBM Way</street>
<city>Palo Alto</city>
<state>CA</state>
<postcode>94304</postcode>
<country>USA</country>
</address.physical>

<telephone>123 456-7890</telephone>
<fax>123 456 0987</fax>
<email>ibmec@ibm.com</email>
</address.set>

```

```

</business>

</market.participant>

5  <!DOCTYPE service SYSTEM "service.dtd" >
    <service.set>
    <service>
    <service.name>Order Service</service.name>
    <service.location>www.ibm.com/order</service.location>
10  <service.operation>
    <service.operation.name>Submit Order</service.operation.name>
    <service.operation.location>www.ibm.com/order/submit</service.location>
    <service.operation.input>urn:x-ibm:services:order:operations:po.dtd</service.operation.input>
15  <service.operation.output>urn:x-
    ibm:services:order:operations:poack.dtd</service.operation.output>
    </service.operation>

    <service.operation>
    <service.operation.name>Track Order</service.operation.name>
    <service.operation.location>www.ibm.com/order/track</service.location>
    <service.operation.input>urn:x-
    ibm:services:order:operations:track.irequest.dtd</service.operation.input>
25  <service.operation.output>urn:x-
    ibm:services:order:operations:track.iresponse.dtd</service.operation.output>
    </service.operation>

    </service>
    </service.set>
30

```

Using the tools along with a BID composer application, which provides a drag, drop and forms editing user interface, a developer is able to create a business interface definition and to produce a well formed, valid business interface definition in the form of an XML document. Thus, the example run time instance is a business interface definition for an ordering service for IBM to be used by Ingram Micro and others to order laptop computers from IBM. (There is no relationship between the applicant and IBM or Ingram Micro). Utilizing these processes, a user is able to build a system that allows for programming of a business interface using the documents defined according to the present invention.

The role of CBL and the BID processor of the present invention in an XML/JAVA environment can be further understood by the following explanation

of the processing of a Purchase Order.

Company A defines its Purchase Order document type using a visual programming environment that contains a library of CBL DTDs and modules, all defined using common business language elements so that they contain data type and other interpretation information. Company A's PO might just involve minor customizations to a more generic "transaction document" specification that comes with the CBL library, or it might be built from the ground up from CBL modules for address, date and time, currency, etc.

The documentation for the generic "transaction document" specification (such as the transact.dtd set out above) typifies the manner in which CBL specifications are built from modules and are interlinked with other CBL DTDs.

A compiler takes the purchase order definition and generates several different target forms. All of these target forms can be derived through "tree to tree" transformations of the original specification. The most important for this example are:

- (a) the XML DTD for the purchase order.
- (b) a JAVA Bean that encapsulates the data structures for a purchase order (the JAVA classes, arguments, datatypes, methods, and exception structures are created that correspond to information in the Schema definition of the purchase order).
- (c) A "marshaling" program that converts purchase orders that conform to the Purchase Order DTD into a Purchase Order JAVA Bean or loads them into a database, or creates HTML (or an XSL style sheet) for displaying purchase orders in a browser.
- (d) An "unmarshaling" program that extracts the data values from Purchase Order JAVA Beans and converts them into an XML document that conforms to the Purchase Order DTD.

Now, back to the scenario. A purchasing application generates a Purchase Order that conforms to the DTD specified as the service interface for a supplier who accepts purchase orders.

The parser uses the purchase order DTD to decompose the purchase order instance into a stream of information about the elements and attribute values it contains. These "property sets" are then transformed into corresponding JAVA event objects by wrapping them with JAVA code. This transformation in effect treats the pieces of marked-up XML document as instructions in a custom programming language whose grammar is defined by the DTD. These JAVA events can now be processed by the marshaling applications generated by the compiler to "load" JAVA Bean data structures.

Turning the XML document into a set of events for JAVA applications to process, is unlike the normal model of parsing in which the parser output is maintained as an internal data structure and processing does not begin until parsing completes. The event based processing, in response to the BID definitions, is the key to enabling the much richer functionality of the processor because it allows concurrent document application processing to begin as soon as the first event is emitted.

JAVA programs that "listen for" events of various types are generated from the Schema definition of those events. These listeners are programs created to carry out the business logic associated with the XML definitions in the CBL; for example, associated with an "address" element may be code that validates the postal code by checking a database. These listeners "subscribe" to events by registering with the document router, which directs the relevant events to all the subscribers who are interested in them.

This publish and subscribe architecture means that new listener programs can be added without knowledge by or impact on existing ones. Each listener has a queue into which the router directs its events, which enables multiple listeners can handle events in parallel at their own pace.

For the example purchase order here, there might be listeners for:

- the purchase order, which would connect it to an order entry program.



- product descriptions, which might check inventory,
- address information, which could check Fed Ex or other service for delivery availability,
- buyer information, which could check order history (for creditworthiness, or to offer a promotion, or similar processing based on knowing who the customer is).

Complex listeners can be created as configurations of primitive ones (e.g., a purchase order listener may contain and invoke these listeners here, or they may be invoked on their own).

Fig. 11 illustrates the market maker node in the network of Fig. 1. The market maker node includes the basic structures of the system of Fig. 3, including a network interface 1101, a document parser 1102, a document to host and host to document translator 1103, and a front end 1104, referred to as a router in this example. The market maker module 1105 in this example includes a set of business interface definitions, or other identifiers sufficient to support the market maker function, for participants in the market, a CBL repository, and a compiler all serving the participants in the market. The router 1104 includes a participant registry and document filters which respond to the events generated at the output of the translator and by the parser to route incoming documents according to the participant registry and according to the element and attribute filters amongst the listeners to the XML event generators. Thus, certain participants in the market may register to receive documents that meet prespecified parameters. For example, input documents according to a particular DTD, and including an attribute such as numbers of products to be purchased greater than a threshold, or such as a maximum price of a document request to be purchased, can be used to filter documents at the router 1104. Only such documents as match the information registered in the participant registry at the router 1104 are then passed on to the registered participant.

The router 1104 may also serve local host services 1105 and 1106, and

as such act as a participant in the market as well as the market maker. Typically, documents that are received by the router 1104 are traversed to determine the destinations to which such documents should be routed, there again passed back through the translator 1103, if necessary, and out the network interface 1101 to the respective destinations.

The market maker is a server that binds together a set of internal and external business services to create a virtual enterprise or trading community. The server parses incoming documents and invokes the appropriate services by, for example, handing off a request for product data to a catalog server or forwarding a purchase order to an ERP system. The server also handles translation tasks, mapping the information from a company's XML documents onto document formats used by trading partners and into data formats required by its legacy systems.

With respect to the service definition above, when a company submits a purchase order, the XML parser in the server uses the purchase order DTD to transform the purchase order instance into a stream of information events. These events are then routed to any application that is programmed to handle events of a given type; in some cases, the information is forwarded over the Internet to a different business entirely. In the purchase order example, several applications may act on information coming from the parser:

- An order entry program processes the purchase order as a complete message;
- An ERP system checks inventory for the products described in the purchase order;
- A customer database verifies or updates the customer's address;
- A shipping company uses the address information to schedule a delivery
- A bank uses the credit card information to authorize the transaction.

Trading partners need only agree on the structure, content, and sequencing of the business documents they exchange, not on the details of APIs. How a document is processed and what actions result is strictly up to the business providing the service. This elevates integration from the system level to the business level. It enables a business to present a clean and stable interface to its business partners despite changes in its internal technology implementation, organization, or processes.

Figs. 12, 13 and 14 illustrate processes executed at a market maker node in the system of Fig. 11. In Fig. 12, an input document is received at the network interface from an originating participant node (step 1200). The document is parsed (step 1201). The document is translated to the format of the host, for example XML to JAVA (step 1202). The host formatted events and objects are then passed to the router service (step 1203). The services registered to accept the document according to the document type and content of the document are identified (step 1204). The document or a portion of the document is passed to the identified services (step 1205). As service is performed in response to the document content (step 1206). The output data of the service is produced (step 1207). The output is converted to the document format, for example from a JAVA format to an XML format (step 1208). Finally, the output document is sent to a participant node (step 1209).

The registration service is one such function which is managed by the router. Thus, a market participant document is accepted at the network interface as shown in Fig. 13 (step 1300). The market participant document is stored in the business interface definition repository (step 1301) for the market maker node. In addition, the document is parsed (step 1302). The parsed document is translated into the format of the host (step 1303). Next, the document is passed to the router service (step 1304). The router service includes a listener which identifies the registration service as the destination of the document according to the document type and content (step 1305). The document or elements of the

document are passed to the registration service (step 1306). In the registration service, the needed service specifications are retrieved according to the business interface definition (step 1307). If the service specifications are gathered, at step 1308, the router service filters are set according to the business interface definition and the service specifications (step 1309). Registration acknowledgment data is produced (1310). The registration acknowledgment data is converted to a document format (step 1311). Finally, the acknowledgment document is sent to the participant node indicating to the participant that is successfully registered with the market maker (step 1312).

The process at step 1307 of gathering needed service specifications is illustrated for one example in Fig. 14. This process begins by locating a service business interface definition supported by the market participant (step 1400). The service definition is retrieved, for example by an E-mail transaction or web access to repository node (step 1401). The service specification is stored in the BID repository (step 1402). The service business interface definition document is parsed (step 1403). The parsed document is translated into the format of the host (step 1404). Host objects are passed to the router service (step 1405). The registration service is identified according to the document type and content (step 1406). Finally, the information in the service business interface definition document is passed to the registration service (step 1407) for use according to the process of Fig. 13.

Fig. 15 illustrates the processor, components and sequence of processing of incoming data at market maker node according to the present invention. The market maker node includes a communication agent 1500 at the network interface. The communication agent is coupled with an XML parser 1501 which supplies events to an XML processor 1502. The XML processor supplies events to a document router. The document router feeds a document service 1504 that provides an interface for supplying the received documents to the enterprise solution software 1505 in the host system. The communication agent 1500 is an

Internet interface which includes appropriate protocol stacks supporting such protocols as HTTP, SMTP, FTP, or other protocols. Thus, the incoming data could come in an XML syntax, an ASCII data syntax or other syntax as suits a particular communication channel. All the documents received in non-XML syntaxes are translated into XML and passed the XML parser. A translation table 1506 is used to support the translation from non-XML form into XML form.

The converted documents are supplied to the parser 1501. The XML parser parses the received XML document according to the document type definition which matches it. If an error is found, then the parser sends the document back to the communication agent 1500. A business interface definition compiler BIDC 1507 acts as a compiler for business interface definition data. The DTD file for the XML parser, JAVA beans corresponding to the DTD file, and translation rules for translating DTD files to JAVA beans are created by compiling the BID data. An XML instance is translated to JAVA instance by referring to these tools. Thus the BID compiler 1507 stores the DTD documents 1508 and produces JAVA documents which correspond 1509. The XML documents are passed to the processor 1502 which translates them into the JAVA format. In a preferred system, JAVA documents which have the same status as the document type definitions received in the XML format are produced. The JAVA beans are passed to the document router 1503. The document router 1503 receives the JAVA beans and passes the received class to the appropriate document service using a registry program, for example using the event listener architecture described above. The document service 1504 which receives the document in the form of JAVA beans from the router 1503 acts as the interface to the enterprise solution software. This includes a registry service 1510 by which listeners to XML events are coupled with the incoming data streams, and a service manager 1511 to manage the routing of the incoming documents to the appropriate services. The document service manager 1511

provides for administration of the registry service and for maintaining document consistency and the like.

The document service communicates with the back end system using any proprietary API, or using such more common forms as the CORBA/COM interface or other architectures.

Fig. 16 provides a heuristic diagram of the market maker and market participant structures according to the present invention. Thus, the electronic commerce market according to the present invention can be logically organized as set forth in Fig. 16. At the top of the organization, a market maker node 1600 is established. The market maker node includes resources that establish a marketplace 1601. Such resources include a market registry service and the like. Businesses 1602 register in the marketplace 1601 by publishing a business interface definition. The business interface definition defines the services 1603 for commercial transactions in which the businesses will participate. The transactions 1604 and services 1603 use documents 1605 to define the inputs and outputs, and outline the commercial relationship between participants in the transaction. The documents have content 1606 which carries the particulars of each transaction. The manner in which the content is processed by the participants in the market, and by the market maker is completely independent of the document based electronic commerce network which is established according to the present invention. Overall, a robust, scalable, intuitive structure is presented for enabling electronic commerce on communication networks is provided.

Thus, the present invention in an exemplary system provides a platform based on the XML processor and uses XML documents as the interface between loosely coupled business systems. The documents are transferred between businesses and processed by participant nodes before entering the company business system. Thus the platform enables electronic commerce applications between businesses where each business system operates using different internal

commerce platforms, processes and semantics, by specifying a common set of business documents and forms.

According to the present invention, virtual enterprises are created by interconnecting business systems and service, are primarily defined in terms of the documents (XML-encoded) that businesses accept and generate:

- "if you send me a request for a catalog, I will send you a catalog;
- "if you send me a purchase order and I can accept it, I will send you an invoice".

The foregoing description of a preferred embodiment of the invention has been presented for purposes of illustration and description. It is not intended to be exhaustive or to limit the invention to the precise forms disclosed. Obviously, many modifications and variations will be apparent to practitioners skilled in this art. It is intended that the scope of the invention be defined by the following claims and their equivalents.

What is claimed is:

## CLAIMS

1. A method for managing transactions among nodes in a network including a plurality of nodes which execute processes involved in the transactions, comprising:

storing machine-readable specifications of a plurality of participant interfaces, the participant interfaces identifying transactions, the respective transactions being identified by definitions of input documents, and definitions of output documents, the definitions of the input and output documents comprising respective descriptions of sets of storage units and logical structures for the sets of storage units;

receiving data comprising a document through a communication network;

parsing the document according to the specifications to identify an input document and one or more transactions which accept the identified input document;

providing at least a portion of the input document in a machine-readable format to transaction processes associated with the one or more identified transactions.

2. The method of claim 1, including:

providing a repository storing a library of logical structures, schematic maps for logic structures, and definitions of documents comprising logic structures used to build participant interface descriptions.

3. The method of claim 2, including providing access to the repository through the communication network to other nodes in the network.



1           4.       The method of claim 1, wherein the machine-readable  
2 specification includes documents compliant with a definition of a participant  
3 interface document including logical structures for storing an identifier of a  
4 particular transaction, and at least one of definitions and references to definitions  
5 of input and output documents for the particular transaction.

1           5.       The method of claim 1, wherein the machine-readable  
2 specifications include documents compliant with a definition of a participant  
3 interface document including logical structures for storing an identifier of the  
4 participant interface, and for storing at least one of specifications and references  
5 to specifications of a set of one or more transactions supported by the participant  
6 interface.

1           6.       The method of claim 5, wherein the documents compliant with a  
2 definition of a participant interface document include a reference to a  
3 specification of a particular transaction, and the specification of the particular  
4 transaction includes a document including logical structures for storing at least  
5 one of definitions and references to definitions of input and output documents  
6 for the particular transaction.

1           7.       The method of claim 1, wherein the storage units comprise parsed  
2 data.

1           8.     The method of claim 7, wherein the parsed data in at least one of  
2 the input and output documents comprises: - - -  
3           character data encoding text characters in the one of the input and output  
4 documents, and  
5           markup data identifying sets of storage units according to the logical  
6 structure of the one of the input and output documents.

1           9.     The method of claim 8, wherein at least one of the sets of storage  
2 units encodes a plurality of text characters providing a natural language word.

1           10.    The method of claim 9, wherein the specification includes  
2 interpretation information for at least one of the sets of storage units identified  
3 by the logical structure of at least one of the input and output documents,  
4 encoding respective definitions for sets of parsed characters.

1           11.    The method of claim 9, wherein the storage units comprise  
2 unparsed data.

1           12.    The method of claim 1, wherein the providing at least a portion of  
2 the input document in a machine-readable format to transaction processes  
3 associated with the one or more identified transactions includes executing a  
4 routing process according to a processing architecture, and including:  
5           compiling in response to the definitions of the input and output  
6 documents in the participant interfaces, data structures corresponding to the sets  
7 of storage units and logical structures of the input and output documents  
8 compliant with the processing architecture of the transaction process,  
9 instructions executable by the system to translate the input document to the  
10 corresponding data structures.

1           13.    The method of claim 1, wherein the providing at least a portion of  
2   the input document in a machine-readable format to transaction processes  
3   associated with the one or more identified transactions includes executing a  
4   routing process according to a processing architecture, and including translating  
5   at least of portion of the incoming document into a format readable according to  
6   the processing architecture.

1           14.    The method of claim 13, wherein the translating includes  
2   producing programming objects including variables and methods according to  
3   the processing architecture of the routing process.

1           15.    The method of claim 1, wherein providing at least a portion of the  
2   input document in a machine-readable format to transaction processes associated  
3   with the one or more identified transactions, includes routing the portion of the  
4   input document to the identified transactions.

1           16.    The method of claim 15, wherein the routing includes sending the  
2   input document on the communication network to a node executing one of the  
3   identified transactions.

1           17.    The method of claim 1, wherein the definitions of the input and  
2   output documents comprise document type definitions compliant with a standard  
3   Extensible Markup Language XML.

1           18.    The method of claim 17, wherein the specifications of participant  
2   interfaces comprise definitions of documents according to document type  
3   definitions compliant with a standard Extensible Markup Language XML.

1 19. The method of claim 1, wherein the repository includes  
2 standardized document types for use in a plurality of transactions, and wherein  
3 the definition of one of the input and output documents includes a reference to a  
4 standardized document type in the repository.

1 20. The method of claim 19, wherein the repository includes a  
2 standardized document type for identifying participant processes in the network.

1 21. The method of claim 19, including providing a repository of  
2 interpretation information for logical structures, including interpretation  
3 information identifying parameters of transactions.

1 22. The method of claim 1, wherein the transaction processes have  
2 respectively one of a plurality of variant transaction processing architectures, and  
3 including translating at least of portion of the incoming document into a format  
4 readable according to the variant transaction processing architecture of the  
5 respective transaction processes, and routing the translated portion to the  
6 respective transaction processes.

1 23. The method of claim 22, wherein the translating includes  
2 producing programming objects including variables and methods according to  
3 the variant transaction processing architecture of the respective transaction  
4 processes.

1 24. The method of claim 23, wherein the variant transaction  
2 processing architectures of the transaction processes comprises a process  
3 compliant with an interface description language.

1           25. Apparatus for managing transactions among nodes in a network  
2 including a plurality of nodes which execute processes involved in the  
3 transactions, comprising:  
4           a network interface;  
5           memory storing data and programs of instructions, including machine-  
6 readable specifications of a plurality of participant interfaces, the participant  
7 interfaces identifying transactions, the respective transactions being identified by  
8 definitions of input documents, and definitions of output documents, the  
9 definitions of the input and output documents comprising respective descriptions  
10 of sets of storage units and logical structures for the sets of storage units;  
11           a data processor coupled to the memory and the network interface which  
12 executes the programs of instructions; wherein the programs of instructions  
13 include  
14           logic to receive data comprising a document through a network interface;  
15           logic to parse the document according to the specifications to identify an  
16 input document and one or more transactions which accept the  
17 identified input document; and  
18           logic to provide at least a portion of the input document in a machine-  
19 readable format to transaction processes associated with the one  
20 or more identified transactions.

1           26. The apparatus of claim 25, including a repository stored in  
2 memory accessible by the data processor storing a library of logical structures,  
3 schematic maps for logic structures, and definitions of documents comprising  
4 logic structures used to build participant interface descriptions.

1           27. The apparatus of claim 25, including logic to access a repository  
2 stored in memory through the network interface storing a library of logical  
3 structures, schematic maps for logic structures, and definitions of documents

4 comprising logic structures used to build participant interface descriptions.

1 28. The apparatus of claim 25, wherein the machine-readable  
2 specification includes documents compliant with a definition of a participant  
3 interface document including logical structures for storing an identifier of a  
4 particular transaction, and at least one of definitions and references to definitions  
5 of input and output documents for the particular transaction.

1 29. The apparatus of claim 25, wherein the machine-readable  
2 specifications include documents compliant with a definition of a participant  
3 interface document including logical structures for storing an identifier of the  
4 participant interface, and for storing at least one of specifications and references  
5 to specifications of a set of one or more transactions supported by the participant  
6 interface.

1 30. The apparatus of claim 29, wherein the documents compliant with  
2 a definition of a participant interface document include a reference to a  
3 specification of a particular transaction, and the specification of the particular  
4 transaction includes a document including logical structures for storing at least  
5 one of definitions and references to definitions of input and output documents  
6 for the particular transaction.

1 31. The apparatus of claim 25, wherein the storage units comprise  
2 parsed data.

1 32. The apparatus of claim 31, wherein the parsed data in at least one  
2 of the input and output documents comprises:  
3 character data encoding text characters in the one of the input and output  
4 documents, and

5 markup data identifying sets of storage units according to the logical  
6 structure of the one of the input and output documents.

1 33. The apparatus of claim 32, wherein at least one of the sets of  
2 storage units encodes a plurality of text characters providing a natural language  
3 word.

1 34. The apparatus of claim 33, wherein the specification includes  
2 interpretation information for at least one of the sets of storage units identified  
3 by the logical structure of at least one of the input and output documents,  
4 encoding respective definitions for sets of parsed characters.

1 35. The apparatus of claim 33, wherein the storage units comprise  
2 unparsed data.

1 36. The apparatus of claim 25, wherein the logic to provide at least a  
2 portion of the input document in a machine-readable format to transaction  
3 processes associated with the one or more identified transactions includes a  
4 routing process according to a processing architecture, and including:

5 a compiler responsive to the definitions of the input and output  
6 documents in the participant interfaces, to compile data structures corresponding  
7 to the sets of storage units and logical structures of the input and output  
8 documents compliant with the processing architecture of the transaction  
9 process, and to compile instructions executable by the system to translate the  
10 input document to the corresponding data structures.

1 37. The apparatus of claim 25, wherein the logic to provide at least a  
2 portion of the input document in a machine-readable format to transaction  
3 processes associated with the one or more identified transactions includes a

4 routing process according to a processing architecture, and including logic to  
5 translate at least of portion of the incoming document into a format readable  
6 according to the processing architecture.

1 38. The apparatus of claim 37, wherein the logic to translate includes  
2 producing programming objects including variables and methods according to  
3 the processing architecture of the routing process.

1 39. The apparatus of claim 25, wherein logic to provide at least a  
2 portion of the input document in a machine-readable format to transaction  
3 processes associated with the one or more identified transactions, includes a  
4 router to route the portion of the input document to the identified transactions.

1 40. The apparatus of claim 39, wherein the router includes logic to  
2 send the input document on the network interface to a node executing one of  
3 the identified transactions.

1 41. The apparatus of claim 25, wherein the definitions of the input  
2 and output documents comprise document type definitions compliant with a  
3 standard Extensible Markup Language XML.

1 42. The apparatus of claim 41, wherein the specifications of  
2 participant interfaces comprise definitions of documents according to document  
3 type definitions compliant with a standard Extensible Markup Language XML.

1 43. The apparatus of claim 26, wherein the repository includes  
2 standardized document types for use in a plurality of transactions, and wherein  
3 the definition of one of the input and output documents includes a reference to a  
4 standardized document type in the repository.



1           44.     The apparatus of claim 26, wherein the repository includes a  
2     standardized document type for identifying participant processes in the network.

1           45.     The apparatus of claim 25, wherein the transaction processes  
2     have respectively one of a plurality of variant transaction processing  
3     architectures, and including logic to translate at least a portion of the incoming  
4     document into a format readable according to the variant transaction processing  
5     architecture of the respective transaction processes, and to route the translated  
6     portion to the respective transaction processes.

1           46.     The apparatus of claim 45, wherein the logic to translate  
2     produces programming objects including variables and methods according to the  
3     variant transaction processing architecture of the respective transaction  
4     processes.

1           47.     The apparatus of claim 45, wherein the variant transaction  
2     processing architectures of the transaction processes comprises a process  
3     compliant with an interface description language.

**MARKET MAKERS USING DOCUMENTS FOR COMMERCE IN**  
**TRADING PARTNER NETWORKS**

**ABSTRACT**

5 A market making node in a network routes machine readable documents  
to connect businesses with customers, suppliers and trading partners. The self  
defining electronic documents, such as XML based documents, can be easily  
understood amongst the partners. Definitions of these electronic business  
documents, called business interface definitions, are posted on the Internet, or  
otherwise communicated to members of the network. The business interface  
10 definitions tell potential trading partners the services the company offers and the  
documents to use when communicating with such services. Thus, a typical  
business interface definition allows a customer to place an order by submitting a  
purchase order or a supplier checks availability by downloading an inventory  
status report. Also, the registration at a market maker node of a specification of  
15 the input and output documents, coupled with interpretation information in a  
common business library, enables participants in a trading partner network to  
execute the transaction in a way which closely parallels the way in which paper  
based businesses operate.

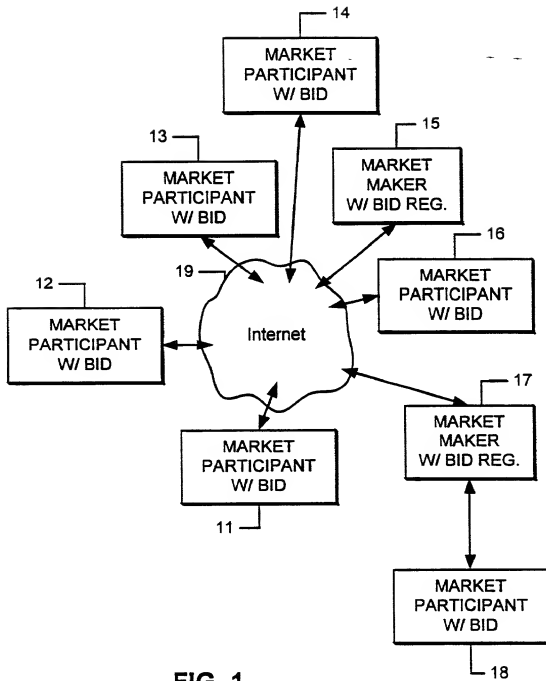
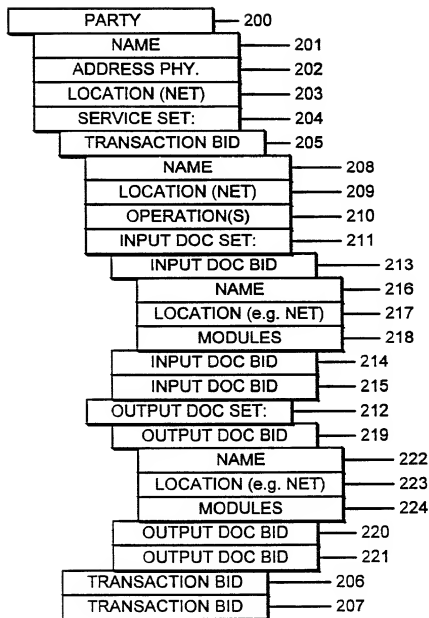


FIG. 2



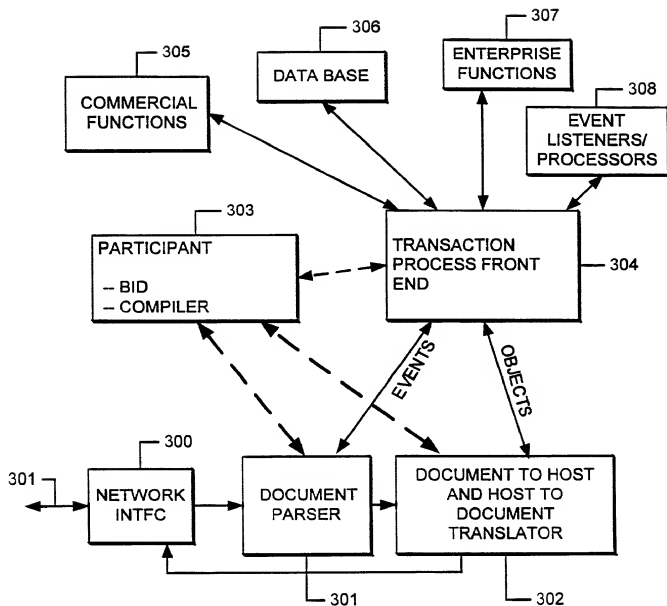


FIG. 3

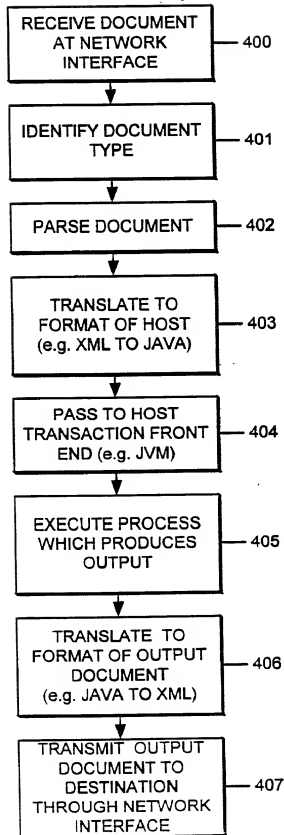


FIG. 4

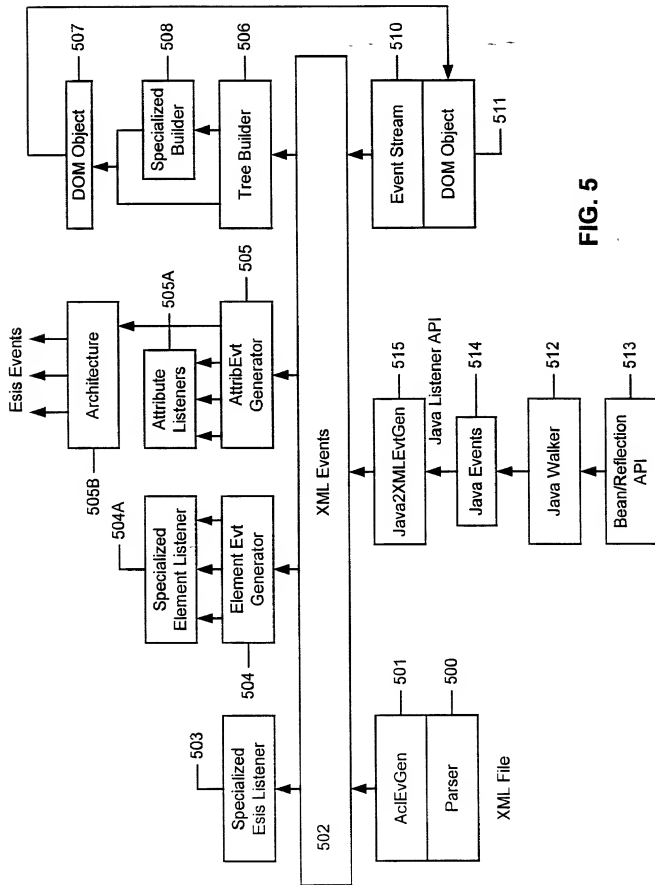


FIG. 5

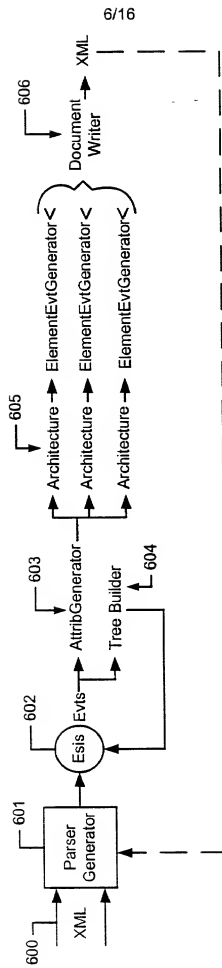


FIG. 6



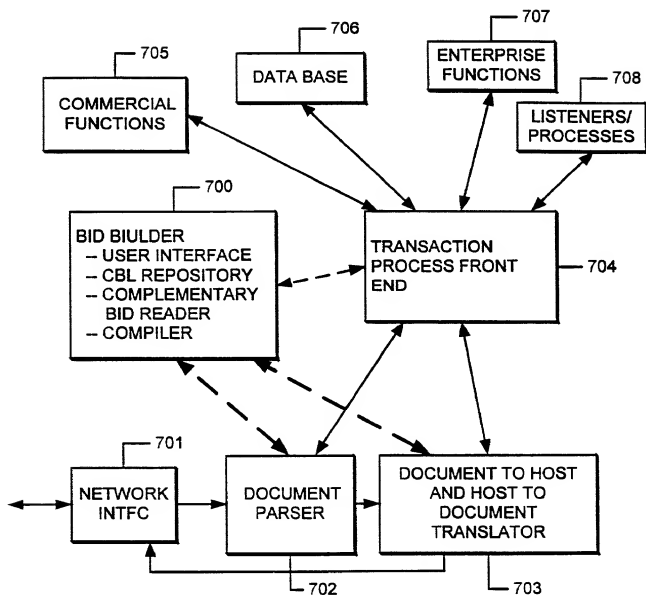


FIG. 7

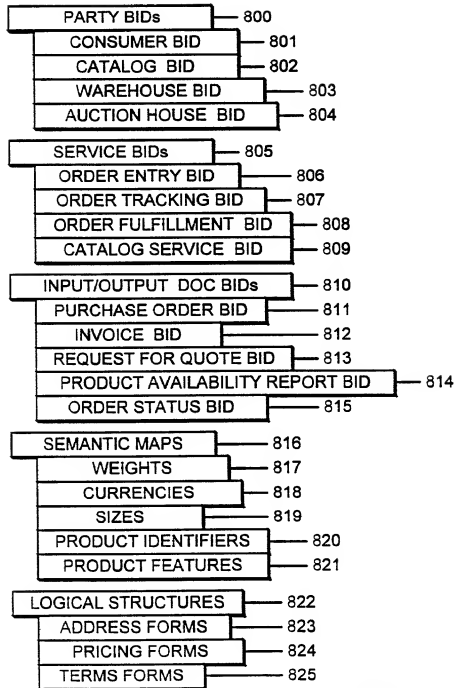
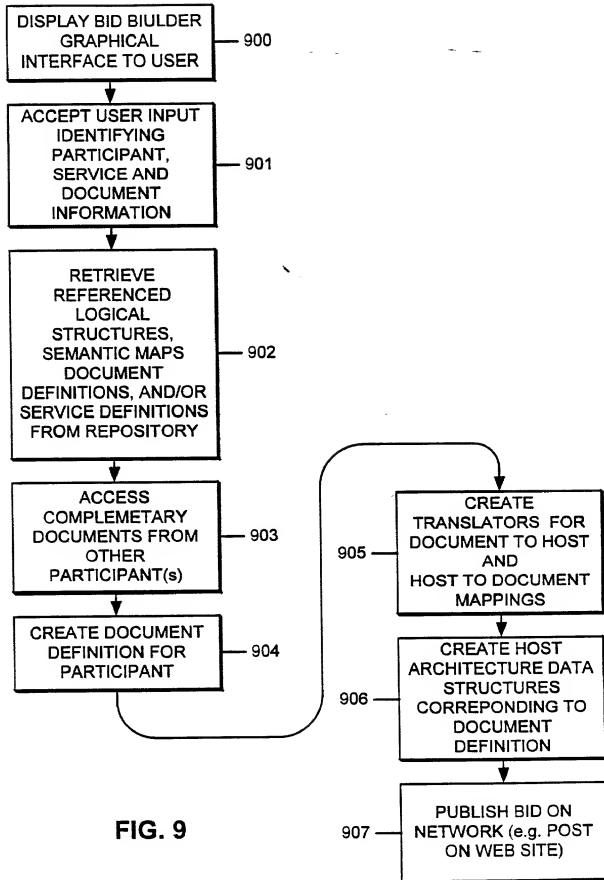


FIG. 8



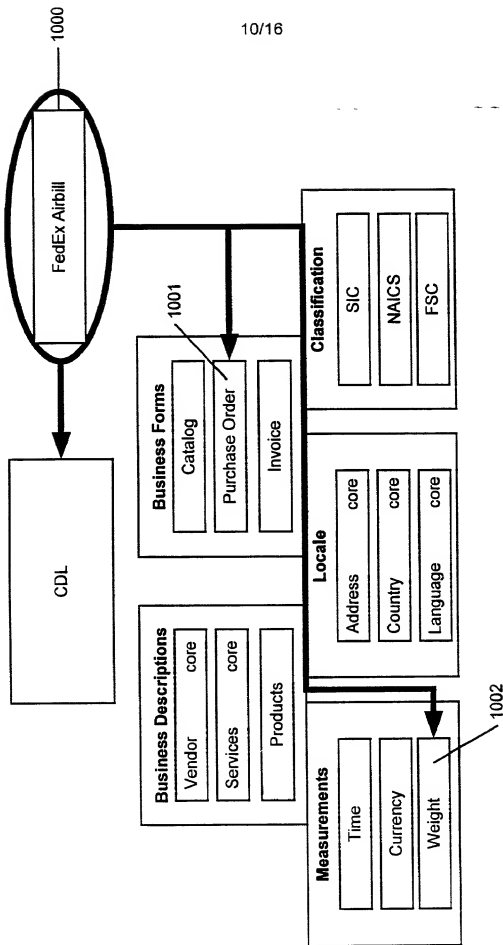


FIG. 10

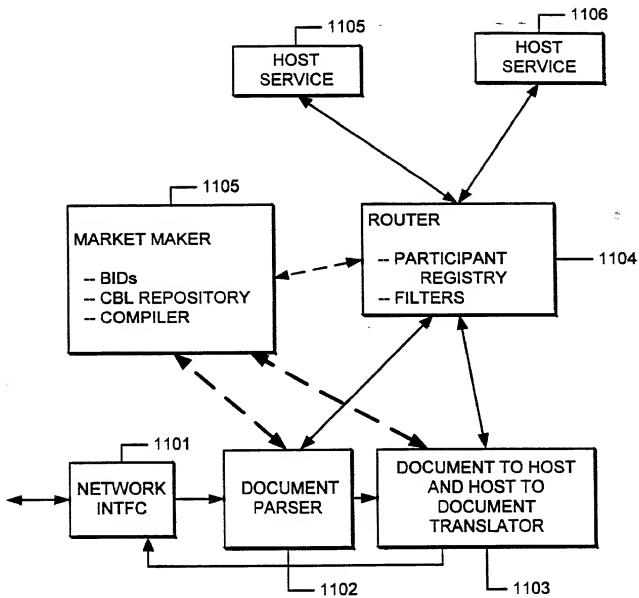


FIG. 11

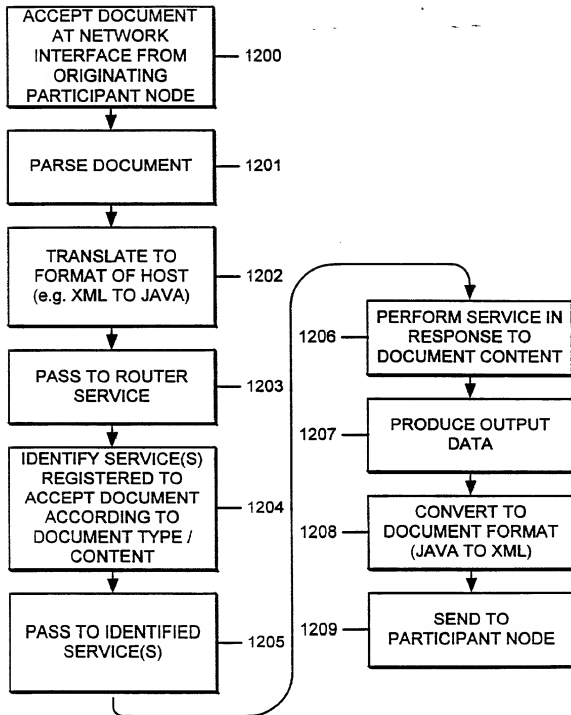


FIG. 12

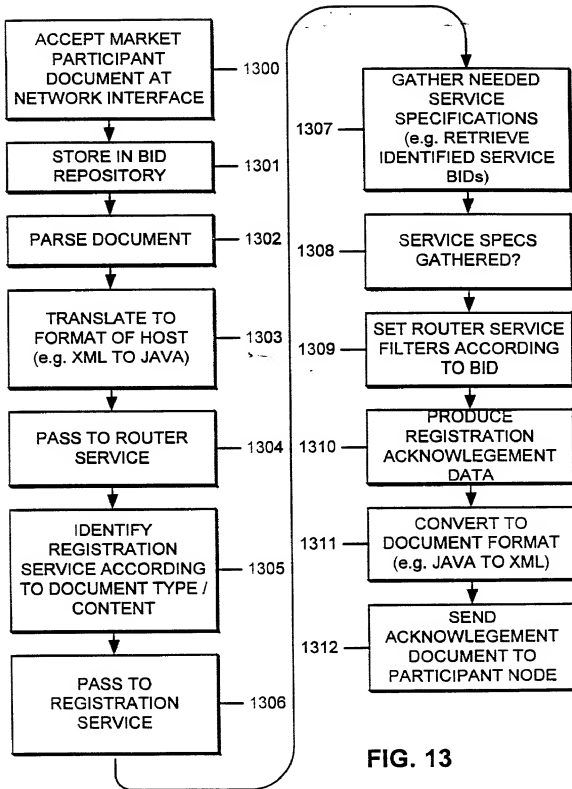


FIG. 13

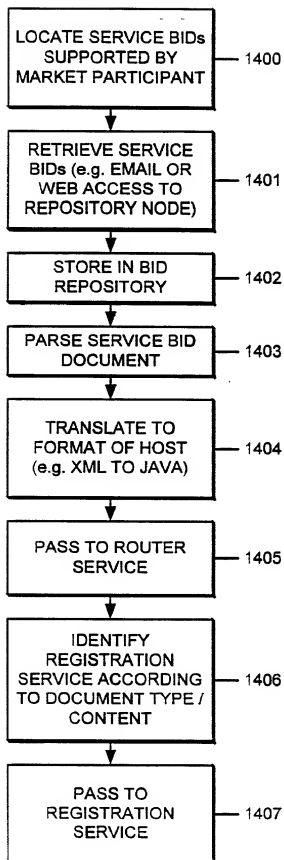


FIG. 14



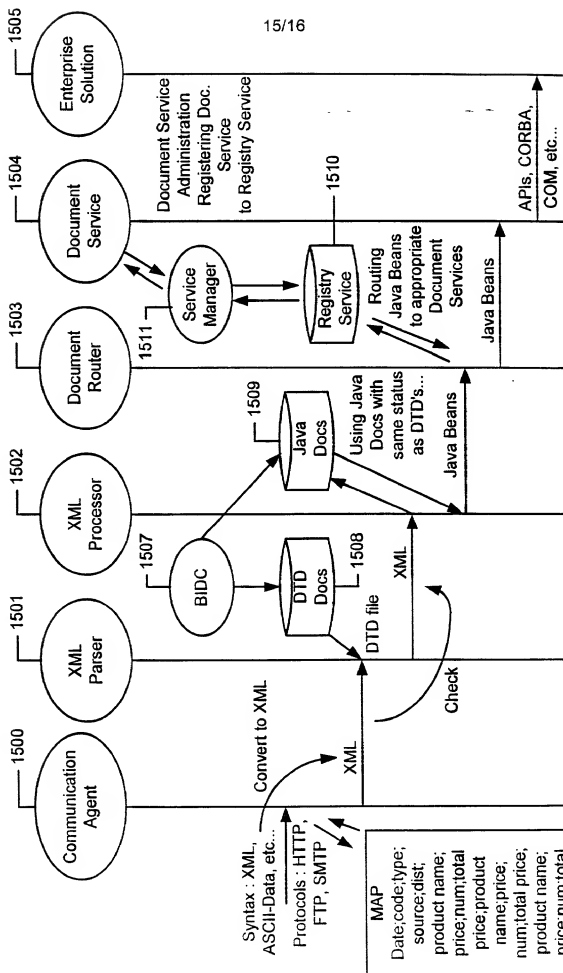
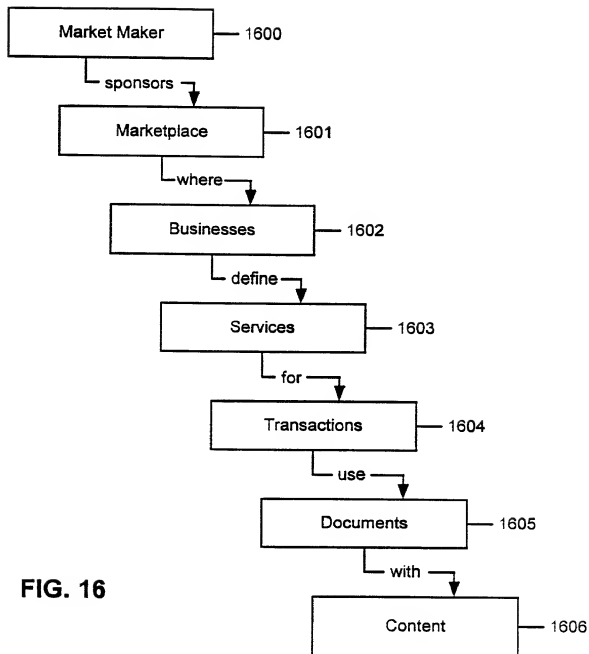


FIG. 15



**COMBINED DECLARATION AND POWER OF ATTORNEY  
FOR UTILITY PATENT APPLICATION**

As a below-named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name;

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

**MARKET MAKERS USING DOCUMENTS FOR COMMERCE IN TRADING  
PARTNER NETWORKS**

the specification of which

\_\_\_\_\_ is attached hereto.

X was filed on 16 October 1998 as Application No. 09/173,854  
and was amended on \*  
(If Applicable)

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, §1.56(a) which states in relevant part: "Each individual associated with the filing and prosecution of a patent application has a duty of candor and good faith in dealing with the Office, which includes a duty to disclose to the Office all information known to that individual to be material to patentability as defined in this section....The duty to disclose all information known to be material to patentability is deemed to be satisfied if all information known to be material to patentability of any claim issued in a patent was cited by the Office or submitted to the Office in the manner prescribed by §§ 1.97(b)-(d) and 1.98."

I hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate as indicated below and have also identified below any foreign application for patent or inventor's certificate on this invention having a filing date before that of the application on which priority is claimed:

## Prior Foreign Application(s)

Priority Claimed

_____ (Number)	_____ (Country)	_____ (Day/Month/Year Filed)	_____ Yes	_____ No
_____ (Number)	_____ (Country)	_____ (Day/Month/Year Filed)	_____ Yes	_____ No

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s), and under §119(e) of any United States provisional application(s), listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulation, §1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

_____ (Application Serial No.)	_____ (Filing Date)	_____ (Patented, Pending, Abandoned)
_____ (Application Serial No.)	_____ (Filing Date)	_____ (Patented, Pending, Abandoned)

I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith, and to file, prosecute and to transact all business in connection with international applications directed to said invention:

Paul Davis	29,294
Mark A. Haynes	30,846
John J. Bruckner	35,816
Charles C. Cary	36,764
Michael J. Murphy	37,404
David J. Weitz	38,362
Kent R. Richardson	39,443
David J. Abraham	39,554
U.P. Peter Eng	39,666
George A. Willman	41,378
Henry J. Groth	39,696
Steven J. Benerofe	41,613
Travis L. Dodd	42,491
Jinntung Su	42,174
Hayward A. Verdun	43,223
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Address all correspondence to:

Mark A. Haynes  
Wilson Sonsini Goodrich & Rosati  
650 Page Mill Road  
Palo Alto, CA 94304

Direct all telephone calls to Mark A. Haynes at (650) 493-9300.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Title 18, United States Code, §1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of sole or  
first inventor:

Bart Alan Meltzer

Inventor's signature:

Bart Alan Meltzer

Date:

12-2-98

Citizenship:

United States

Residence:

530 Santa Margarita, Aptos, California 95003

Post Office Address:

Same as above.

Full name of second joint  
inventor, if any:

Terry Allen

Inventor's signature:

Terry Allen

Date:

2 Dec 98

Citizenship:

United States

Residence:

234 Sebastopol Road, Sebastopol, California 95473

Post Office Address:

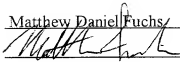
Same as above.

Full name of third joint

inventor, if any::

Matthew Daniel Fuchs

Inventor's signature:



Date:

12/2/1998

Citizenship:

United States

Residence:

16229 Jacaranda Way, Los Gatos, California 95032

Post Office Address:

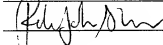
Same as above.

Full name of fourth joint

inventor, if any::

Robert John Glushko

Inventor's signature:



Date:

12/2/98

Citizenship:

United States

Residence:

159 Mendosa Avenue, San Francisco, California 94116

Post Office Address:

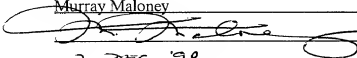
Same as above.

Full name of fifth joint

inventor, if any::

Murray Maloney

Inventor's signature:



Date:

2 DEC 98

Citizenship:

Canada

Residence:

671 Cowan Circle, Pickering, Ontario, Canada, L1W 3K6

Post Office Address:

Same as above.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**PATENT APPLICATION**

IN RE PATENT APPLICATION OF )  
)  
Bart Meltzer, Terry Allen, )  
Matthew Fuchs, Robert J. Glushko )  
Murray Maloney )  
)  
Application No.: 09/173,854 )  
)  
Filing Date: 16 October 1998 )  
)  
Title: **Market Makers Using** )  
**Documents For Commerce** )  
**In Trading Partner Networks** )  
\_\_\_\_\_ )

Examiner: Not Yet Assigned

Group Art Unit: Not Yet Assigned

**POWER OF ATTORNEY BY ASSIGNEE**  
**TO EXCLUSION OF INVENTOR UNDER 37 C.F.R. § 3.71**  
**WITH REVOCATION OF PRIOR POWERS**

Commissioner of Patents and Trademarks  
Washington, D.C. 20231

Sir:

The undersigned ASSIGNEE of the entire interest in the above-identified application for letters patent hereby appoints:

Paul Davis	29,294
Mark A. Haynes	30,846
John J. Bruckner	35,816
Charles C. Cary	36,764
Michael J. Murphy	37,404
David J. Weitz	38,362
Kent R. Richardson	39,443
David J. Abraham	39,554
U.P. Peter Eng	39,666
George A. Willman	41,378
Henry J. Groth	39,696
Steven J. Benerofe	41,613
Travis L. Dodd	42,491

Jinntung Su	42,174
Hayward A. Verdun	43,223
Van Mahamedi	42,828
Jeff Wheeler	39,066

to prosecute this application and transact all business in the United States Patent and Trademark Office in connection therewith and hereby revokes all prior powers of attorney; said appointment to be to the exclusion of the inventors and the inventors' attorneys in accordance with the provisions of 37 C.F.R. § 3.71.

The following evidentiary documents establish a chain of title from the original owner to the Assignee:

X a copy of an Assignment attached hereto, which Assignment has been (or is herewith) forwarded to the Patent and Trademark Office for recording; or

— the Assignment recorded on \_\_\_\_\_ at reel \_\_\_, frames \_\_\_ - \_\_\_.

Pursuant to 37 C.F.R. § 3.73(b) the undersigned Assignee hereby states that evidentiary documents have been reviewed and hereby certifies that, to the best of ASSIGNEE's knowledge and belief, title is in the identified ASSIGNEE.

— Direct all telephone calls to Mark A. Haynes, (650) 493-9300.

Address all correspondence to:

Mark A. Haynes  
WILSON SONSINI GOODRICH & ROSATI  
650 Page Mill Road  
Palo Alto, California 94304-1050

ASSIGNEE: NeoSystems, Inc.

Name: [Signature]  
(Signature)

Name: Asim Abdullah  
(Print or Type)

Title: CEO

Date: 12/17/98